CS 4803 / 7643: Deep Learning

Topics:

- (Deep) Reinforcement Learning
- Closing time

Dhruv Batra Georgia Tech

Administrativia

- Last class today
- Project submission
 - Due: 12/04, 11:55pm
 - Last deliverable in the class
 - Can't use late days
 - https://piazza.com/class/jkujs03pgu75cd?cid=225

Recap from last time

Types of Learning

- Supervised learning
 - Learning from a "teacher"
 - Training data includes desired outputs
- Unsupervised learning
 - Discover structure in data
 - Training data does not include desired outputs
- Reinforcement learning

Learning to act under evaluative feedback (rewards)



- Environment may be unknown, nonlinear, stochastic and complex
- Agent learns a policy mapping states to actions
 - Seeking to maximize its cumulative reward in the long run

RL API





Robot Locomotion



Objective: Make the robot move forward

State: Angle and position of the joints Action: Torques applied on joints Reward: 1 at each time step upright + forward movement

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Atari Games

Objective: Complete the game with the highest score

State: Raw pixel inputs of the game state **Action:** Game controls e.g. Left, Right, Up, Down **Reward:** Score increase/decrease at each time step

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Markov Decision Process

- Mathematical formulation of the RL problem



Markov Decision Process

- Mathematical formulation of the RL problem

Defined by: $(\mathcal{S}, \mathcal{A}, \mathcal{R}, \mathbb{P}, \gamma)$

- ${\cal S}\,$: set of possible states
- \mathcal{A} : set of possible actions
- ${\cal R}$: distribution of reward given (state, action) pair
- γ : discount factor
- Life is trajectory:

$$S_t, A_t, R_{t+1}, S_{t+1}, A_{t+1}, R_{t+2}, S_{t+2}, \dots$$

Markov property: Current state completely characterizes the state of the world

$$p(\underline{r,s}'|s,a) = Prob\left[\underbrace{R_{t+1}=r,S_{t+1}=s'}_{t+1} \mid S_{t+1}=s'\right]$$



Components of an RL Agent

- Policy _- How does an agent behave?
- Value function
 - How good is each state and/or state-action pair?
- - Model

 Agent's representation of the environment

Policy



- A policy is how the agent acts
- Formally, map from states to actions Deterministic policy: $a = \pi(s)$ (s) Stochastic policy: $\pi(a|s) = \mathbb{P}[A_t = a|S_t = s]$



The optimal policy π^*

What's a good policy?

Maximizes current reward? Sum of all future reward?



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Value Function

- A value function is a prediction of future reward
- "State Value Function" or simply "Value Function"
 - How good is a state?
 - Am I screwed? Am I winning this game?
- "Action Value Function" or Q-function
 - How good is a state action-pair?
 - Should I do this now?

Definitions: Value function and Q-value function

Following a policy produces sample trajectories (or paths) s₀, a₀, r₀, s₁, a₁, r₁, ...

How good is a state?

The **value function** at state s, is the expected cumulative reward from state s (and following the policy thereafter):



Definitions: Value function and Q-value function

Following a policy produces sample trajectories (or paths) s₀, a₀, r₀, s₁, a₁, r₁, ...

How good is a state?

The **value function** at state s, is the expected cumulative reward from state s (and following the policy thereafter):

$$V^{\pi}(s) = \mathbb{E}\left[\sum_{t \geq 0} \gamma^t r_t | \underline{s_0 = s}, \pi
ight]$$

How good is a state-action pair?

The **Q-value function** at state s and action a, is the expected cumulative reward from taking action a in state s (and following the policy thereafter):

$$\begin{array}{c} & & Q^{\pi}(s,a) = \mathbb{E}\left[\sum_{t \geq 0} \gamma^{t} r_{t} | s_{0} = s, a_{0} = a, \pi\right] \end{array}$$

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Model

Model predicts what the world will do next



Model is learnt from experience Acts as proxy for environment Planner interacts with model e.g. using lookahead search





Plan for Today

- (Deep) Reinforcement Learning
 - Policy gradients
- Closing the loop

Components of an RL Agent

- Policy
 - How does an agent behave?
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Maze Example



Maze Example: Policy



Arrows represent policy $\pi(s)$ for each state s

Maze Example: Value



Numbers represent value $v_{\pi}(s)$ of each state s

Maze Example: Model



- Agent may have an internal model of the environment
- Dynamics: how actions change the state
- Rewards: how much reward from each state
- The model may be imperfect

Grid layout represents transition model \$\mathcal{P}^a_{ss'}\$
 Numbers represent immediate reward \$\mathcal{R}^a_s\$ from each state \$s\$ (same for all \$a\$)

Components of an RL Agent

- Value function
 - How good is each state and/or state-action pair?
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 - How does an agent behave?
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 - Agent's representation of the environment

Approaches to RL

- Value-based RL Estimate the optimal action-value function $Q^*(s, a)$

Policy-based RL – Search directly for the optimal policy π^*

- Model bood RC
 - Build a model of the world
 State transition roward and
 - State transition, reward probabilities
 - Plan (e.g. by look-ahead) using model

Deep RL

- Value-based RL – Use neural nets to represent Q function $Q(\bar{s}, \bar{a}; \theta)$ $Q(\bar{s}, a; \theta^*) \approx Q^*(\bar{s}, a)$ • Policy-based RL – Use neural nets to represent policy π_{θ} $\pi_{\theta^*} \approx \pi^*$
 - Use neural nets to represent and learn the model

NNT

Deep RL

- Value-based RL
 - Use neural nets to represent Q function Q(s,a; heta)

 $Q(s,a;\theta^*)\approx Q^*(s,a)$

Policy-based RL

- Use neural nets to represent policy π_{θ}

 $\pi_{\theta^*} \approx \pi^*$

• Model

- Use neural nets to represent and learn the model

Olicy Gradients 5= EINFORCE [A2C A3C

Policy Gradients







Formally, let's define a class of parameterized policies: $\Pi = \{\pi_{\theta}, \theta \in \mathbb{R}^m\}$

For each policy, define its value:

$$J(\theta) = \mathbb{E}\left[\sum_{t\geq 0} \gamma^t r_t | \pi_{\theta}\right] \qquad \max \quad \mathbb{E}_{2 \sim Po(2)} \left[\frac{f(2)}{f(2)} \right]$$

Policy Gradients

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We want to find the optimal policy $\theta^* = \underset{\theta}{\arg \max} J(\theta)$

How can we do this?

Policy Gradients

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How can we do this?

Gradient ascent on policy parameters!
Mathematically, we can write:

 $J(\theta) = \mathbb{E}_{\tau \sim p(\tau;\theta)} \left[r(\tau) \right]$ $r(au)p(au; heta)\mathrm{d} au$ $(s_0, \underline{a_0}, \underline{r_0}, \underline{s_1}, \underline{\alpha_0})$ Where $r(\tau)$ is the reward of a trajectory τ $P(2; \Theta) = P(S_0, \alpha_0, \beta_0, \dots, \beta_{n-1})$ $P(S_0) \neq X \prod P(S_{t}, \alpha_{t}, \beta_{t} \mid S_{t-1}, \alpha_{t-1})$

Mathematically, we can write:

$$J(\theta) = \underbrace{\mathbb{E}_{\tau \sim p(\tau;\theta)} [r(\tau)]}_{= \int_{\tau} \underline{r(\tau)} p(\tau;\theta) d\tau}$$

Where r(au) is the reward of a trajectory $au = (s_0, a_0, r_0, s_1, \ldots)$

$$p(\tau; \theta) = \prod_{t \ge 0} p(s_{t+1}|s_t, a_t) \pi_{\theta}(a_t|s_t)$$
$$\log p(\tau; \theta) = \sum_{t \ge 0} \log p(s_{t+1}|s_t, a_t) + \log \pi_{\theta}(a_t|s_t)$$

Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Expected reward:

ard:
$$J(\theta) = \mathbb{E}_{\tau \sim p(\tau;\theta)} [r(\tau)]$$
$$= \int_{\tau} r(\tau) p(\tau;\theta) d\tau$$
$$\nabla J(\theta) = E \left[\mathcal{A}(Z) \quad V_0 \text{ by } P(Z;\theta) \right].$$

Expected reward: $J(\theta) = \mathbb{E}_{\tau \sim p(\tau;\theta)} [r(\tau)]$ = $\int_{\tau} r(\tau) p(\tau;\theta) d\tau$ Now let's differentiate this: $\nabla_{\theta} J(\theta) = \int_{\tau} r(\tau) \nabla_{\theta} p(\tau;\theta) d\tau$

Expected reward:

$$egin{aligned} J(heta) &= \mathbb{E}_{ au \sim p(au; heta)}\left[r(au)
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Now let's differentiate this: $\nabla_{\theta} J(\theta) = \int_{\tau} r(\tau) \nabla_{\theta} p(\tau; \theta) d\tau$ Intractable! Expectation of gradient is problematic when p depends on

θ

Expected reward:
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 $= \int_{\tau} r(\tau)p(\tau;\theta)d\tau$
Now let's differentiate this: $\nabla_{\theta}J(\theta) = \int_{\tau} r(\tau)\nabla_{\theta}p(\tau;\theta)d\tau$ Intractable! Expectation of gradient is problematic when p depends on θ
However, we can use a nice trick: $\nabla_{\theta}p(\tau;\theta) = p(\tau;\theta)\frac{\nabla_{\theta}p(\tau;\theta)}{p(\tau;\theta)} = p(\tau;\theta)\nabla_{\theta}\log p(\tau;\theta)$

Expected reward: $J(\theta) = \mathbb{E}_{\tau \sim p(\tau;\theta)} \left[r(\tau) \right]$ $=\int_{-}r(au)p(au; heta)\mathrm{d} au$

Now let's differentiate this: $\nabla_{\theta} J(\theta) = \int_{\tau} r(\tau) \nabla_{\theta} p(\tau; \theta) d\tau$ Intractable! Expectation of gradient is problematic when p depends on

However, we can use a nice trick: $\nabla_{\theta} p(\tau; \theta) = p(\tau; \theta) \frac{\nabla_{\theta} p(\tau; \theta)}{p(\tau; \theta)} = p(\tau; \theta) \nabla_{\theta} \log p(\tau; \theta)$ If we inject this back:

$$\nabla_{\theta} J(\theta) = \int_{\tau} (r(\tau) \nabla_{\theta} \log p(\tau; \theta)) p(\tau; \theta) d\tau$$

$$= \mathbb{E}_{\tau \sim p(\tau; \theta)} [r(\tau) \nabla_{\theta} \log p(\tau; \theta)]$$
Can estimate with Monte Carlo sampling

Can we compute those quantities without knowing the transition probabilities?

We have: $p(\tau; \theta) = \prod_{t \ge 0} p(s_{t+1}|s_t, a_t) r_{\theta}(a_t|s_t)$

Can we compute those quantities without knowing the transition probabilities?

We have:
$$p(\tau; \theta) = \prod_{t \ge 0} p(s_{t+1}|s_t, a_t) \pi_{\theta}(a_t|s_t)$$

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Thus: $\log p(\tau; \theta) = \sum_{t \ge 0}^{t \ge 0} \log p(s_{t+1}|s_t, a_t) + \log \pi_{\theta}(a_t|s_t)$
And when differentiating: $\nabla_{\theta} \log p(\tau; \theta) = \sum_{t \ge 0} \nabla_{\theta} \log \pi_{\theta}(a_t|s_t)$ Doesn't depend on transition probabilities

$$\nabla_{\theta} J(\theta) = \int_{\tau} \left(r(\tau) \nabla_{\theta} \log p(\tau; \theta) \right) p(\tau; \theta) d\tau$$
$$= \mathbb{E}_{\tau \sim p(\tau; \theta)} \left[r(\tau) \nabla_{\theta} \log p(\tau; \theta) \right]$$

Can we compute those quantities without knowing the transition probabilities?

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$$p(\tau; \theta) = \prod_{t \ge 0} p(s_{t+1}|s_t, a_t) \pi_{\theta}(a_t|s_t)$$

Thus: $\log p(\tau; \theta) = \sum_{t \ge 0} \log p(s_{t+1}|s_t, a_t) + \log \pi_{\theta}(a_t|s_t)$
And when differentiating: $\nabla_{\theta} \log p(\tau; \theta) = \sum_{t \ge 0} \nabla_{\theta} \log \pi_{\theta}(a_t|s_t)$
Doesn't depend on transition probabilities!

Therefore when sampling a trajectory τ , we can estimate $J(\theta)$ with

$$\nabla_{\theta} J(\theta) \approx \sum_{t \ge 0} r(\tau) \nabla_{\theta} \log \pi_{\theta}(a_t | s_t)$$



Gradient estimator:

Interpretation:

- If $r(\tau)$ is high, push up the probabilities of the actions seen
- If $r(\tau)$ is low, push down the probabilities of the actions seen

Intuition

Gradient estimator:



Interpretation:

- If $r(\tau)$ is high, push up the probabilities of the actions seen
- If $r(\tau)$ is low, push down the probabilities of the actions seen

Might seem simplistic to say that if a trajectory is good then all its actions were good. But in expectation, it averages out!

Pong from pixels



Image Credit: http://karpathy.github.io/2016/05/31/rl/

Pong from pixels



Image Credit: http://karpathy.github.io/2016/05/31/rl/

Pong from pixels





Objective: Image Classification

Take a sequence of "glimpses" selectively focusing on regions of the image, to predict class

- Inspiration from human perception and eye movements
- Saves computational resources => scalability
- Able to ignore clutter / irrelevant parts of image

State: Glimpses seen so far

Action: (x,y) coordinates (center of glimpse) of where to look next in image **Reward:** 1 at the final timestep if image correctly classified, 0 otherwise



glimpse

Objective: Image Classification

Take a sequence of "glimpses" selectively focusing on regions of the image, to predict class

- Inspiration from human perception and eye movements
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glimpse

Glimpsing is a non-differentiable operation => learn policy for how to take glimpse actions using REINFORCE Given state of glimpses seen so far, use RNN to model the state and output next action











Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n



Has also been used in many other tasks including fine-grained image recognition, image captioning, and visual question-answering!

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[Mnih et al. 2014]

Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

Visual Dialog



Learning Cooperative Visual Dialog Agents with Deep Reinforcement Learning [ICCV '17]



Abhishek Das* (Georgia Tech)



Satwik Kottur* (CMU)



José Moura (CMU)



Stefan Lee (Virginia Tech)



Dhruv Batra (Georgia Tech)





Q-Bot asks questions



Q-Bot is blindfolded



A-Bot answers questions



A-Bot sees an image





Image Guessheveretame


RL for Cooperative Dialog Agents

• Action:

- Q-bot: question (symbol sequence)
- A-bot: answer (symbol sequence)
- Q-bot: image regression

 \mathbf{q}_t Any people in the shot? \mathbf{a}_t No, there aren't any. $\hat{y}_t \in \mathbb{R}^{4096}$

- State
 - Q-bot: $s_t^Q = [c, q_1, a_1, \dots, q_{t-1}, a_{t-1}]$ - A-bot: $s_t^A = [I, c, q_1, a_1, \dots, q_{t-1}, a_{t-1}, q_t]$

RL for Cooperative Dialog Agents

- Action:
 - Q-bot: question (symbol sequence)
 - A-bot: answer (symbol sequence)
 - Q-bot: image regression

- \mathbf{q}_t Any people in the shot?
- a_t No, there aren't any.

 $\hat{y}_t \in \mathbb{R}^{4096}$

- State
 - Q-bot: $s_t^Q = [c, q_1, a_1, \dots, q_{t-1}, a_{t-1}]$
 - A-bot: $s_t^A = [I, c, q_1, a_1, \dots, q_{t-1}, a_{t-1}, q_t]$
- Policy • Reward $\frac{Q-bot}{\pi_Q(q_t|S_{t-1}^Q)} \xrightarrow{A-bot}{\pi_A(a_t|S_{t-1}^A)}$ • Reward $\frac{r_t\left(s_t^Q\left(q_t, a_t, y_t\right)\right)}{state} = \underbrace{\ell\left(\hat{y}_{t-1}, y^{gt}\right)}_{distance at t-1} - \underbrace{\ell\left(\hat{y}_t, y^{gt}\right)}_{distance at t}$



































(C) Dhruv Batra













$$J(\theta_A, \theta_Q) = \mathop{\mathbb{E}}_{\pi_Q, \pi_A} \left[r_t \left(s_t^Q, (q_t, a_t, y_t) \right) \right]$$

REINFORCE Gradients

$$\begin{aligned} \nabla_{\theta_Q} J &= \nabla_{\theta_Q} \left[\mathop{\mathbb{E}}_{\pi_Q,\pi_A} [r_t(\cdot)] \right] \\ &= \sum_{q_t,a_t} \pi_Q \left(q_t | s_{t-1}^Q \right) \nabla_{\theta_Q} \log \pi_Q \left(q_t | s_{t-1}^Q \right) \pi_A \left(a_t | s_t^A \right) r_t(\cdot) \\ &= \mathop{\mathbb{E}}_{\pi_Q,\pi_A} \left[r_t(\cdot) \nabla_{\theta_Q} \log \pi_Q \left(q_t | s_{t-1}^Q \right) \right] \end{aligned}$$

Turing Test



How old is this man?	He looks like late teens
Does he have short hair or long hair?	Medium
What color is his hair?	Brown
Is he wearing glasses?	No
Does he have facial hair?	No
How is he dressed?	He's white dress shirt with red tie school uniform
Is he larger man or is he skinny?	He's skinny
Is this picture taken outside?	Inside
Can you tell if it's daytime?	I'm not sure
Can you tell what room he is standing	g in? He's in hallway

A man making the live long and prosper sign from star trek.

Where is man located? Looks like classroom of some sort What is man wearing? Black t shirt and jeans Are there any other people in picture? Yes, quite few people in background What color is table? Picture is black and white, but it's wood table Is there anything else on table? Not that I can see What are other people doing? They are standing in front of him, but I don't ... Are there any windows? Not that I can see What color are walls? What time of day do you think it is? What is man wearing? Black t shirt and jeans

I can't tell

I can't see walls



How old is man? What is he wearing? What color is his shirt? what color are his pants? what color are his shoes? I can't see his face, I don't know shorts and t shirt white black blue Black Black Black Black Black Black Black

SL Agents

What color is skateboard? Is he wearing helmet? Is he wearing knee pads? Is it day or night? Is it sunny? Can you see any other people? Is it day or night? Is it sunny? What color is skateboard? Is he wearing helmet? It is hard to tell but I think it's black Yes No, no knee pads It is daytime It is bright out, but I see no shadows, so I can not There are 2 other people in background It is daytime Yes, it is sunny It is hard to tell but I think it's black Yes, he is wearing helmet

RL Agents

Plan for Today

- (Deep) Reinforcement Learning
 - Policy gradients
- Closing the loop

So what is Deep (Machine) Learning?

- A few different ideas:
- (Hierarchical) Compositionality
 - Cascade of non-linear transformations
 - Multiple layers of representations
- End-to-End Learning
 - Learning (goal-driven) representations
 - Learning to feature extraction
- Distributed Representations
 - No single neuron "encodes" everything
 - Groups of neurons work together

Building A Complicated Function

Given a library of simple functions



Idea 2: Compositions

- Deep Learning
- Grammar models
- Scattering transforms...

$$f(x) = g_1(g_2(\dots(g_n(x)\dots)))$$



Slide Credit: Marc'Aurelio Ranzato, Yann LeCun

Differentiable Computation Graph



So what is Deep (Machine) Learning?

- A few different ideas:
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"Shallow" vs Deep Learning

• "Shallow" models



Key Computation: Forward-Prop



Key Computation: Back-Prop


So what is Deep (Machine) Learning?

- A few different ideas:
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Distributed Representations Toy Example

• Can we interpret each dimension?



Power of distributed representations!

Local $\bullet \bullet \bullet \bullet = VR + HR + HE = ?$ Distributed $\bullet \bullet \bullet \bullet = V + H + E \approx \bigcirc$

What is this class about?

What is this class about?

- Introduction to Deep Learning
- Goal:
 - After finishing this class, you should be ready to get started on your first DL research project.
 - CNNs
 - RNNs
 - Deep Reinforcement Learning
 - Generative Models (VAEs, GANs)

- Target Audience:
 - Senior undergrads, MS-ML, and new PhD students

What did we learn?

- Background & Basics
 - Neural Networks, Backprop, Optimization (SGD)
- Module 1: Convolutional Neural Networks (CNNs)
 - Architectures, Pre-training, Fine-tuning
 - Visualizations, Fooling CNSS, Adversarial examples
 - Different tasks: detection CNNs, segmentation CNNs
- Module 2: Recurrent Neural Networks (RNNs)
 - Difficulty of learning; "Vanilla" RNNs, LSTMs, GRU
 - RNNs for Sequence-to-Sequence (machine translation & image captioning, VQA, Visual Dialog)
- Module 3: Deep Reinforcement Learning
 - Overview, policy gradients
 - Optimizing Neural Sequence Models for goal-driven rewards
- Module 4: Deep Structured Prediction
 - Crash course on Bayes Nets, Variational Inference
 - Variational Auto Encoders (VAEs)
- Module 5: Advanced Topics
 - GANs, Adversarial Learning

Arxiv Fire Hose

PhD Student

Deep Learning papers



arXiv.org



Feedback

CIOS Help GT Course Instructor Opinion Survey (CIOS) now open To: Batra, Dhruv, Reply-To: cioshelp@gatech.edu

Siri found new contact info in this email: Help Cios evaluations@smartevals.com

add to Contacts... 🛞

CH

🗇 Inbox - GT 🛛 November 26, 2018 at 1:37 AM

Dear Dhruv,

Good morning. The Course/Instructor Opinion Survey (CIOS) is now available for the following courses. Your courses, their survey start and end dates, and your current response rate are shown in the table below.

Eval	Course Prefix	Course Number	Sec	Туре	Name	Begin	End	Not Resp.	Resp.	Tot.
Preview	CS	4803	DL	Α	Special Topics	11-26	12-16	20	0	20
Preview	CS	7643	Α	Α	Deep Learning	11-26	12-16	84	0	84

Students have received an announcement indicating that surveys have begun, and they will continue to receive periodic reminder emails with all of the necessary information to complete the survey. However, you can ALSO set up additional reminders within the system that would come from you. Simply login at the link below, click the "Not Set" button near the left of the table, and follow the directions to set up auto-email reminders for your all of your courses.

Reports with your results will be available 5 days after full semester grades are due and you will receive an email with report access information at that time. Information at that time. If you would like to view your response rates at any time, you can log in with your GT account here: http://b.gatech.edu/cios

If you have any problems with the survey system, please email cioshelp@gatech.edu.

Thanks!