Topics:

- Bias, Fairness, Calibration
- Structured Representations and recurrent neural networks

# CS 4644-DL / 7643-A ZSOLT KIRA

- Assignment 3
  - Due March 14th 11:59pm EST.
  - See <a href="https://piazza.com/class/ky0k0ha5vgy1mk?cid=176">https://piazza.com/class/ky0k0ha5vgy1mk?cid=176</a>
    - (note: ignore logistics on that slide deck)
- Projects
  - Project proposal due March 13<sup>th</sup>
- Meta Office Hours on Language Models Friday 3pm EST



#### **Classification** (Class distribution per image)



**Object Detection** (List of bounding boxes with class distribution per box)



Semantic Segmentation (Class distribution per pixel)



Instance Segmentation (Class distribution per pixel with unique ID)



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# **ML and Fairness**

- AI effects our lives in many ways
- Widespread algorithms with many small interactions
  - e.g. search, recommendations, social media
- Specialized algorithms with fewer but higher-stakes interactions
  - e.g. medicine, criminal justice, finance
- At this level of impact, algorithms can have unintended consequences
- Low classification error is not enough, need fairness

(C) Dhruv Batra & Zsolt Kira Slide Credit: David Madras Georgia Tech BUSINESS NEWS OCTOBER 10, 2018 / 3:12 AM / 6 MONTHS AGO

# Amazon scraps secret AI recruiting tool that showed bias against women

Jeffrey Dastin

8 MIN READ

SAN FRANCISCO (Reuters) - Amazon.com Inc's (AMZN.O) machine-learning specialists uncovered a big problem: their new recruiting engine did not like women.

The team had been building computer programs since 2014 to review job applicants' resumes with the aim of mechanizing the search for top talent, five people familiar with the effort told Reuters.

Automation has been key to Amazon's e-commerce dominance, be it inside warehouses or driving pricing decisions. The company's experimental hiring tool used artificial intelligence to give job candidates scores ranging from one to five stars - much like

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#### Gender and racial bias found in Amazon's facial <sup>77</sup> recognition technology (again)

Research shows that Amazon's tech has a harder time identifying gender in darker-skinned and female faces By James Vincent | Jan 25, 2019, 9:45am EST

f 🔰 🗋 share



#### MOST READ

My Samsung Galaxy Fold screen broke after just a day

We finally know why the Instagram founders really quit

Command Line delivers daily updates from the near-future.



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# **ML and Fairness**

- Fairness is morally and legally motivated
- Takes many forms
- Criminal justice: recidivism algorithms (COMPAS)
  - Predicting if a defendant should receive bail
  - Unbalanced false positive rates: more likely to wrongly deny a black person bail
    Table 1: ProPublica Analysis of COMPAS Algorithm

	White	Black
Wrongly Labeled High-Risk	23.5%	44.9%
Wrongly Labeled Low-Risk	47.7%	28.0%

https://www.propublica.org/article/ machine-bias-risk-assessments-in-criminal-sentencing



# Why Fairness is Hard

- Suppose we are a bank trying to fairly decide who should get a loan
  - i.e. Who is most likely to pay us back?
- Suppose we have two groups, A and B (the sensitive attribute)
  - This is where discrimination could occur
- The simplest approach is to remove the sensitive attribute from the data, so that our classier doesn't know the sensitive attribute

Age	Gender	Postal Code	Req Amt	A or B?	Pay
46	F	M5E	\$300	A	1
24	M	M4C	\$1000	В	1
33	M	M3H	\$250	A	1
34	F	M9C	\$2000	A	0
71	F	M3B	\$200	A	0
28	M	M5W	\$1500	В	0

#### Table 2: To Loan or Not to Loan?



## Why Fairness is Hard

- However, if the sensitive attribute is correlated with the other attributes, this isn't good enough
- It is easy to predict race if you have lots of other information (e.g. home address, spending patterns)
- More advanced approaches are necessary

Age	Gender	Postal Code	Req Amt	A or B?	Pay
46	F	M5E	\$300	?	1
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28	М	M5W	\$1500	?	0

Table 3: To Loan or Not to Loan? (masked)



#### **Definitions of Fairness – Group Fairness**

- So we've built our classier . . . how do we know if we're being fair?
- One metric is demographic parity | requiring that the same percentage of A and B receive loans
  - What if 80% of A is likely to repay, but only 60% of B is?
  - Then demographic parity is too strong
- Could require equal false positive/negative rates
  - When we make an error, the direction of that error is equally likely for both groups

P(loan|no repay, A) = P(loan|no repay, B)P(no loan|would repay, A) = P(no loan|would repay, B)

- These are definitions of group fairness
- Treat different groups equally"



#### Definitions of Fairness – Individual Fairness

- Also can talk about individual fairness | "Treat similar examples similarly"
- Learn fair representations
  - Useful for classification, not for (unfair) discrimination
  - Related to domain adaptation
  - Generative modelling/adversarial approaches







(b) Fair(er) representations

Figure 1: "The Variational Fair Autoencoder" (Louizos et al., 2016)



#### Conclusion

- This is an exciting field, quickly developing
- Central definitions still up in the air
- AI moves fast | lots of (currently unchecked) power
- Law/policy will one day catch up with technology
- Those who work with AI should be ready
  - Think about implications of what you develop!





#### Calibration

- Definition
- Measuring Calibration
- Calibrating models
- Limitations of Calibration



A classifier is **well-calibrated** if the probability of the observations with a given probability score of having a label is equal to the proportion of observations having that label

Example: if a binary classifier gives a score of 0.8 to 100 observations, then 80 of them should be in the positive class

$$\forall p \in [0,1], P(\hat{Y} = Y | \hat{P} = p) = p$$

where  $\widehat{Y}$  is the predicted label and  $\widehat{P}$  is the predicted probability (or score) for class *Y* 

**Calibration: Definition** 

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#### **Calibration: Definition**



#### **Calibration: Definition**

**Group Calibration:** the scores for subgroups of interest are calibrated (or at least, equally mis-calibrated)





### Some models (e.g Logistic Regression) tend to have well-calibrated predictions

- Some DL models (e.g. ResNet) tend to be overconfident (https://arxiv.org/pdf/1706.04599.pdf)
- Logistic calibration/Platt scaling





# Post-processing approach requiring an **additional validation** dataset

Platt scaling (binary classifier)

• Learn parameters a, b so that the **calibrated probability** is  $\hat{q}_i = \sigma(az_i + b)$  )where  $z_i$  is the network's logit output)

Temperature scaling extends this to multi-class classification

• Learn a temperature *T*, and produce calibrated probabilities  $\hat{q}_i = \max_k \sigma_{SoftMax}(z_i/T)$ 

Platt/Temperature Scaling



#### **Calibration: Limitations**

- Group based
- The Inherent Tradeoffs of Calibration



# **Module 3** Introduction Georgia







# Why model sequences?





Figure Credit: Carlos Guestrin





• It's a spectrum...

one to one



Image Credit: Andrej Karpathy

• It's a spectrum...



Image Credit: Andrej Karpathy

• It's a spectrum...



• It's a spectrum...



# What's wrong with MLPs?

- Problem 1: Can't model sequences
  - Fixed-sized Inputs & Outputs
  - No temporal structure





# What's wrong with MLPs?

- Problem 1: Can't model sequences
  - Fixed-sized Inputs & Outputs
  - No temporal structure
- Problem 2: Pure feed-forward processing
  - No "memory", no feedback



(C) Dhruv Batra Image Credit: Alex Graves, book Georgia Tech

# 3 Key Ideas

- The notion of memory (state)
  - We want to propagate information across the sequence
  - We will do this with *state*, represented by a vector (embedding/representation)
  - Just as a CNN represents an image with the final hidden vector/bmedding before the final classifier



# 3 Key Ideas

- The notion of memory (state)
- Parameter Sharing
  - in computation graphs = adding gradients




## Gradients add at branches





# 3 Key Ideas

- The notion of memory (state)
- Parameter Sharing

– in computation graphs = adding gradients

- "Unrolling"
  - in computation graphs with parameter sharing



## **New Words**

- Recurrent Neural Networks (RNNs)
- Recursive Neural Networks
  - General family; think graphs instead of chains
- Types:
  - "Vanilla" RNNs (Elman Networks)
  - Long Short Term Memory (LSTMs)
  - Gated Recurrent Units (GRUs)
  - ...
- Algorithms
  - BackProp Through Time (BPTT)
  - BackProp Through Structure (BPTS)



## **Recurrent Neural Network**

- Idea: Input is a **sequence** and we will process it sequentially though a neural network module with *state*
- For each timestep (element of sequence):





### **Recurrent Neural Network**





### (Vanilla) Recurrent Neural Network

The state consists of a single "hidden" vector h:

 $y \qquad y_t = W_{hy}h_t + b_y$   $h_t = f_W(h_{t-1}, x_t)$   $h_t = \tanh(W_{hh}h_{t-1} + W_{xh}x_t + b_h)$ 

Sometimes called a "Vanilla RNN" or an "Elman RNN" after Prof. Jeffrey Elman Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n Georgia Tech

## (Vanilla) Recurrent Neural Network

The state consists of a single *"hidden"* vector **h**:

$$y \qquad y_{t} = W_{hy}h_{t} + b_{y}$$

$$h_{t} = \tanh(W_{hh}h_{t-1} + W_{xh}x_{t})$$

$$= \tanh\left(\left(W_{hh} \quad W_{hx}\right) \begin{pmatrix}h_{t-1}\\x_{t}\end{pmatrix}\right)$$

$$= \tanh\left(W\begin{pmatrix}h_{t-1}\\x_{t}\end{pmatrix}\right)$$

Sometimes called a "Vanilla RNN" or an "Elman RNN" after Prof. Jeffrey Elman Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n Georgia Tech **Recurrent Neural Network** 





### **Recurrent Neural Network**

We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:

$$h_t = f_W(h_{t-1}, x_t)$$

Notice: the same function and the same set of parameters are used at every time step.



















Re-use the same weight matrix at every time-step





#### RNN: Computational Graph: Many to Many





#### RNN: Computational Graph: Many to Many









#### RNN: Computational Graph: Many to One





#### RNN: Computational Graph: One to Many





#### Sequence to Sequence: Many-to-one + one-to-many

Many to one: Encode input sequence in a single vector





#### Sequence to Sequence: Many-to-one + one-to-many





#### Example: Character-level Language Model

Vocabulary: [h,e,l,o]

Example training sequence: **"hello"** 

input layer	1 0 0		0 1 0	0 0 1 0	0 0 1 0	
input chars:	"h"		"e"	"["	"["	



#### Example: Character-level Language Model

$$h_t = \tanh(W_{hh}h_{t-1} + W_{xh}x_t + b_h)$$

Vocabulary: [h,e,l,o]

Example training sequence: "hello"





## **Distributed Representations Toy Example**

• Can we interpret each dimension?



(C) Dhruv Batra Slide Credit: Moontae Lee



## Power of distributed representations!



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#### Example: Character-level Language Model

Vocabulary: [h,e,l,o]

Example training sequence: **"hello"** 



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## Training Time: MLE / "Teacher Forcing"

#### Example: Character-level Language Model

Vocabulary: [h,e,l,o]

Example training sequence: "hello"











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#### Truncated Backpropagation through time



Run forward and backward through chunks of the sequence instead of whole sequence



### Truncated Backpropagation through time



Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps





Truncated Backpropagation through time



#### min-char-rnn.py gist: 112 lines of Python

rectangle and a set of the s

<code-block></code>

(https://gist.github.com/karpathy/d4dee 566867f8291f086)



Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

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# THE SONNETS

## by William Shakespeare

From fairest creatures we desire increase, That thereby beauty's rose might never die, But as the riper should by time decease, His tender heir might bear his memory: But thou, contracted to thine own bright eyes, Feed'st thy light's flame with self-substantial fuel, Making a famine where abundance lies, Thyself thy foe, to thy sweet self too cruel: Thou that art now the world's fresh ornament, And only herald to the gaudy spring, Within thise own bud buriest thy content, And tender chur mak'st waste in niggarding: Pity the world, or else this glutton be, To cat the world's due, by the grave and thee.

When forty winters shall besiege thy brow, And dig deep trenches in thy beauty's field, Thy youth's proud livery so gazed on now, Will be a tatter'd weed of small worth held: Then being asked, where all thy beauty lies, Where all the treasure of thy lusty days; To say, within thine own deep sunken eyes. Were an all-eating shame, and thriftless praise. How much more praise deserved thy beauty's use, If thou couldst answer This fair child of mine Shall sum my count, and make my old excuse' Proving his beauty by succession thine! This were to be new made when thou art old, And see thy blood warm when thou feel's it cold. y RNN



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Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

at first:	tyntd-iafhatawiaoihrdemot lytdws e ,tfti, astai f ogoh eoase rrranbyne 'nhthnee e plia tklrgd t o idoe ns,smtt h ne etie h,hregtrs nigtike,aoaenns lng
	train more
	"Tmont thithey" fomesscerliund Keushey. Thom here sheulke, anmerenith ol sivh I lalterthend Bleipile shuwy fil on aseterlome coaniogennc Phe lism thond hon at. MeiDimorotion in ther thize."
	train more
	Aftair fall unsuch that the hall for Prince Velzonski's that me of her hearly, and behs to so arwage fiving were to it beloge, pavu say falling misfort how, and Gogition is so overelical and ofter.
	↓ train more
	"Why do what that day," replied Natasha, and wishing to himself the fact the princess, Princess Mary was easier, fed in had oftened him. Pierre aking his soul came to the packs and drove up his father-in-law women.



Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n

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#### PANDARUS:

Alas, I think he shall be come approached and the day When little srain would be attain'd into being never fed, And who is but a chain and subjects of his death, I should not sleep.

#### Second Senator:

They are away this miseries, produced upon my soul, Breaking and strongly should be buried, when I perish The earth and thoughts of many states.

#### DUKE VINCENTIO:

Well, your wit is in the care of side and that.

#### Second Lord:

They would be ruled after this chamber, and my fair nues begun out of the fact, to be conveyed, Whose noble souls I'll have the heart of the wars.

Clown:

Come, sir, I will make did behold your worship.

### VIOLA: I'll drink it.

#### VIOLA:

Why, Salisbury must find his flesh and thought That which I am not aps, not a man and in fire, To show the reining of the raven and the wars To grace my hand reproach within, and not a fair are hand, That Caesar and my goodly father's world; When I was heaven of presence and our fleets, We spare with hours, but cut thy council I am great, Murdered and by thy master's ready there My power to give thee but so much as hell: Some service in the noble bondman here, Would show him to her wine.

#### KING LEAR:

O, if you were a feeble sight, the courtesy of your law, Your sight and several breath, will wear the gods With his heads, and my hands are wonder'd at the deeds, So drop upon your lordship's head, and your opinion Shall be against your honour.



Slide Credit: Fei-Fei Li, Justin Johnson, Serena Yeung, CS 231n