### Administrivia

- Assignment 2 has been released.
- We will be having a couple of guest lectures later in the semester.

# Recap

#### Access Methods

Access methods are alternative ways for retrieving specific tuples from a relation.

- Typically, there is more than one way to retrieve tuples.
- Depends on the availability of <u>indexes</u> and the conditions specified in the query for selecting the tuples
- Includes sequential scan method of unordered table heap
- Includes <u>index scan</u> of different types of <u>index structures</u>

### Index Structures: Design Decisions

### • Meta-Data Organization

► How to organize meta-data on disk or in memory to support efficient access to specific tuples?

### Concurrency

How to allow multiple threads to access the derived data structure at the same time without causing problems?

# Today's Agenda

- Hash Tables
- Hash Functions
- Static Hashing Schemes
- Dynamic Hashing Schemes

- A hash table implements an **unordered associative array** that maps keys to values.
  - mymap.insert('a', 50);
  - ► mymap['b']=100;
  - mymap.find('a')
  - mymap['a']
- It uses a <u>hash function</u> to compute an offset into the array for a given key, from which the desired value can be found.

- Operation Complexity:
  - ► Average: O(1)
  - ► Worst: O(n)
- Space Complexity: O(n)
- Constants matter in practice.
- **Reminder:** In theory, there is no difference between theory and practice. But in practice, there is.

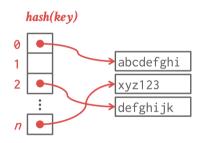
### Naïve Hash Table

- Allocate a giant array that has one slot for every element you need to store.
- To find an entry, mod the key by the number of elements to find the offset in the array.

# hash(kev) labc

### Naïve Hash Table

- Allocate a giant array that has one slot for every element you need to store.
- To find an entry, mod the key by the number of elements to find the offset in the array.



### Assumptions

- You know the number of elements ahead of time.
- Each key is unique (e.g., SSN ID  $\longrightarrow$  Name).
- Perfect hash function (no **collision**).
  - ► If key1 != key2, then hash(key1) != hash(key2)

### Hash Table: Design Decisions

- Design Decision 1: Hash Function
  - How to map a large key space into a smaller domain of array offsets.
  - ► Trade-off between being fast vs. collision rate.
- Design Decision 2: Hashing Scheme
  - How to handle key collisions after hashing.
  - ► Trade-off between allocating a large hash table vs. additional steps to find/insert keys.

# **Hash Functions**

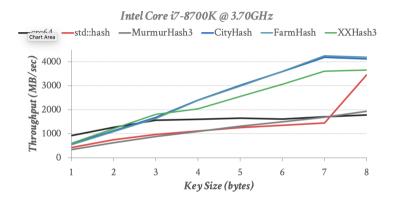
### **Hash Functions**

- For any input key, return an integer representation of that key.
- We want to map the key space to a smaller domain of array offsets.
- We do <u>not</u> want to use a cryptographic hash function for DBMS hash tables.
- We want something that is fast and has a low collision rate.

### **Hash Functions**

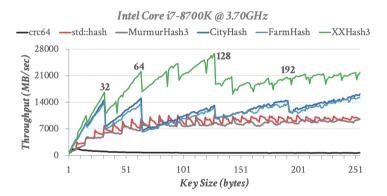
- CRC-64 (1975)
  - Used in networking for error detection.
- MurmurHash (2008)
  - Designed to a fast, general purpose hash function.
- Google CityHash (2011)
  - Designed to be faster for short keys (<64 bytes).</li>
  - New assembly instructions have been added recently to accelerate hashing
- Facebook XXHash (2012)
  - From the creator of zstd compression.
- Google FarmHash (2014)
  - Newer version of CityHash with better collision rates.

### Hash Function Benchmark



- Source
- Intel Core i7-8700K @ 3.70GHz

### Hash Function Benchmark



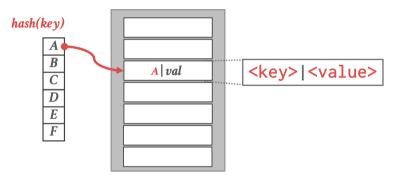
- Source
- Intel Core i7-8700K @ 3.70GHz

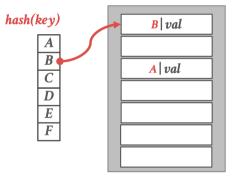
# Static Hashing Schemes

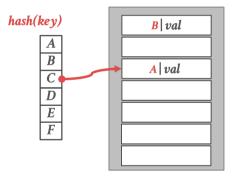
# Static Hashing Schemes

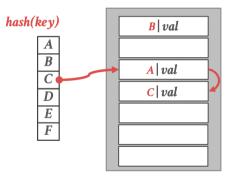
- These schemes are typically used when you have an <u>upper bound</u> on the number of keys that you want to store in the hash table.
- These are often used during query execution because they are faster than dynamic hashing schemes.
  - Approach 1: Linear Probe Hashing
  - Approach 2: Robin Hood Hashing
  - Approach 3: Cuckoo Hashing

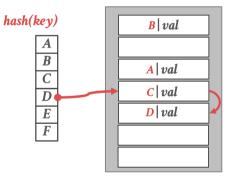
- Single giant table of slots
- Resolve collisions by **linearly searching** for the next <u>free slot</u> in the table.
  - ▶ To determine whether an element is present, hash to a location in the index and scan for it.
  - ▶ Have to store the key in the index to know when to stop scanning.
  - Insertions and deletions are generalizations of lookups.

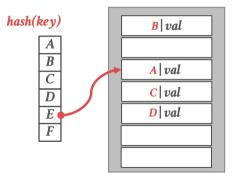


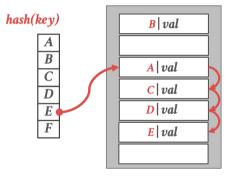


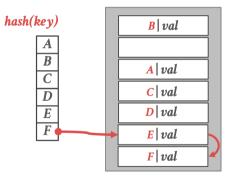




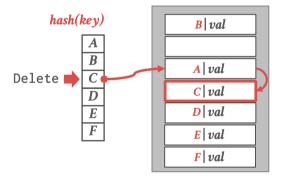






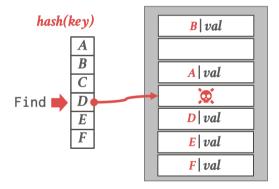


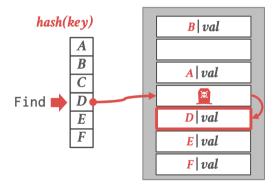
- It is **not sufficient** to simply delete the key.
- This would affect searches for other keys that have a hash value earlier than the emptied cell, but that are stored in a position later than the emptied cell.
- Solutions:
  - Approach 1: Tombstone
  - Approach 2: Movement

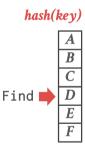


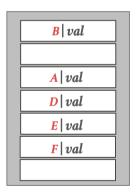
### hash(key)

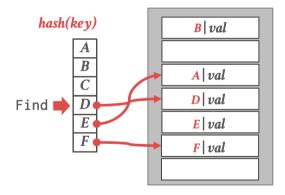
A
B
C
D





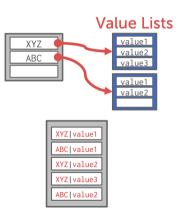




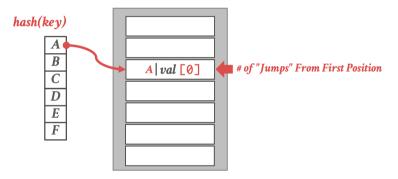


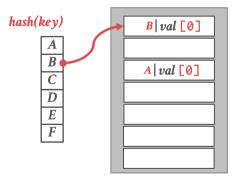
#### Non-Unique Keys

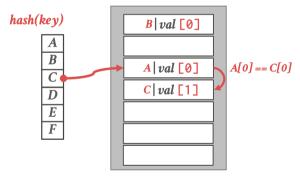
- Choice 1: **Separate Linked List** 
  - Store values in separate storage area for each key.
- Choice 2: Redundant Keys
  - Store duplicate keys entries together in the hash table.

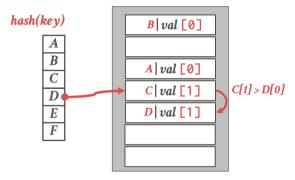


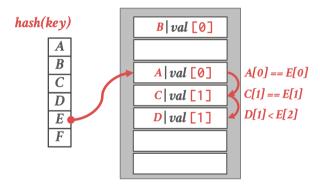
- Variant of linear probe hashing that steals slots from <u>rich</u> keys and give them to <u>poor</u> keys.
  - Each key tracks the <u>number of positions</u> they are from where its optimal position in the table.
  - ▶ On insert, a key takes the slot of another key if the first key is farther away from its optimal position than the second key.

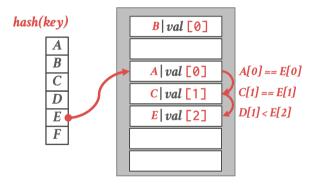


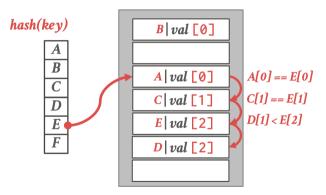


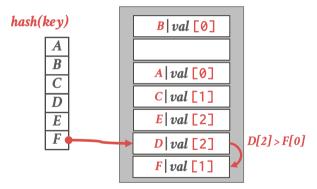




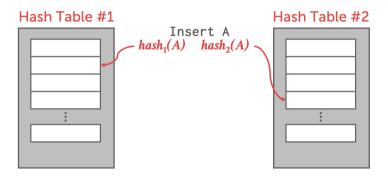


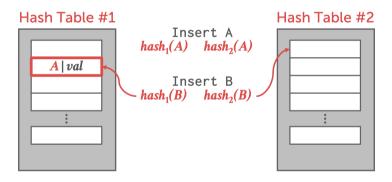


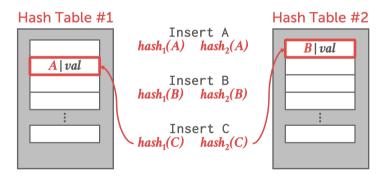


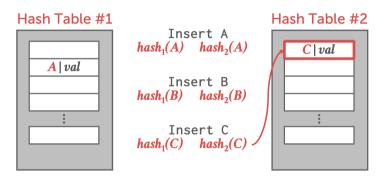


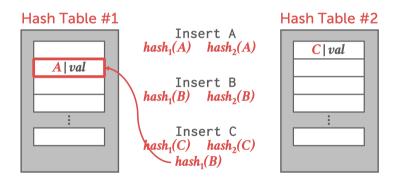
- Use **multiple hash tables** with different hash function seeds.
  - On insert, check every table and pick anyone that has a free slot.
  - ▶ If no table has a free slot, evict the element from one of them and then re-hash it find a new location.
- Look-ups and deletions are always O(1) because only one location **per hash table** is checked.

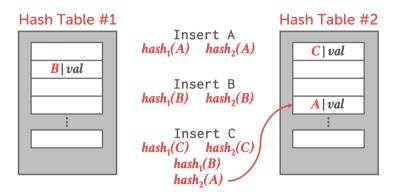












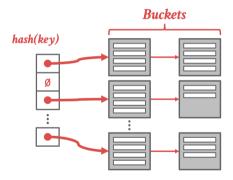
#### Observation

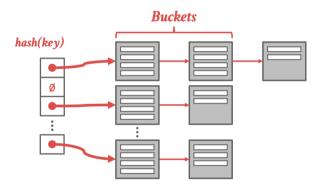
- Static hashing schemes require the DBMS to know the number of keys to be stored.
  - ▶ Otherwise it has to rebuild the table if it needs to grow/shrink the table in size. Why?
  - You would have to take a latch on the entire hash table to prevent threads from adding new entries.
- Dynamic hashing schemes resize themselves on demand.
  - Approach 1: Chained Hashing
  - Approach 2: Extendible Hashing
  - Approach 3: Linear Hashing

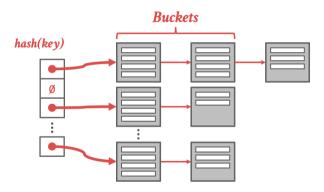
# Dynamic Hashing Schemes

- Maintain a linked list of <u>buckets for each slot</u> in the hash table.
- Resolve collisions by placing all keys with the same hash value into the same bucket.
  - To determine whether an element is present, hash to its bucket and scan for it.
  - ▶ Insertions and deletions are generalizations of lookups.

- Unlike static hashing schemes, two different keys may hash to the same offset
- If you want to enforce <u>unique keys</u>, then you have perform an additional comparison
  of each key to determine whether they exactly match
- So, unlike static hashing schemes, need to retain the original key in the table



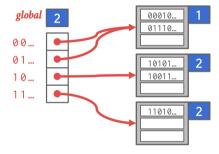


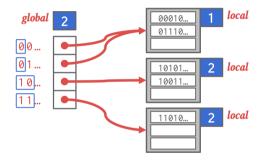


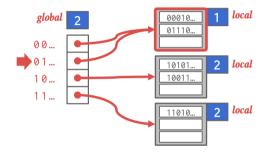
- The hash table can grow infinitely because you just keep adding new buckets to the linked list.
- You only need to take a latch on the bucket to store a new entry or extend the linked list.

- Chained-hashing approach where we **split buckets** instead of letting the linked list grow forever.
- Multiple slot locations can point to the same bucket chain.
- Reshuffling bucket entries on split and increase the number of bits to examine.
  - ▶ Data movement is **localized** to just the split chain.

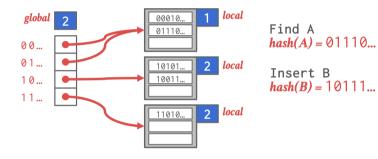
- The slot array maps hashes to buckets.
- A hash value may occupy an arbitrary number of bits.
- With extendible hashing, the <u>number of bits</u> that the hash table uses to map hashes to buckets **changes over time**.
  - Global counter keeps track of the number of bits that the the hash table uses.
  - Local counter in each bucket tracks the number of hash bits used by that bucket.

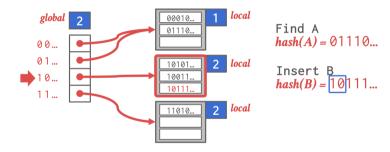


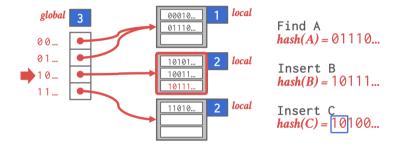


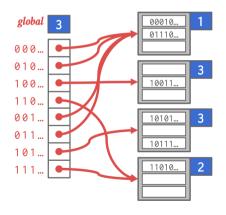


Find A hash(A) = 01110...





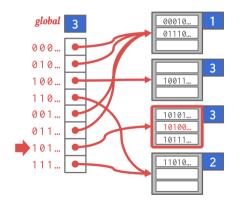




Find A hash(A) = 01110...

Insert B hash(B) = 10111...

Insert C hash(C) = 10100...



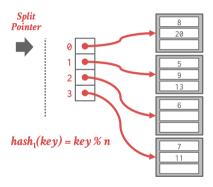
Find A hash(A) = 01110...

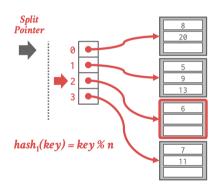
Insert B hash(B) = 10111...

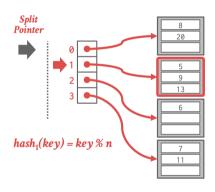
Insert C hash(C) = 10100.

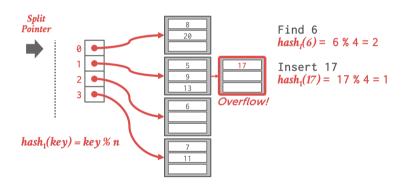
#### Linear Hashing

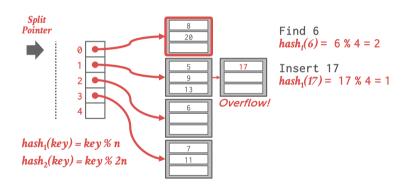
- The hash table maintains a pointer that tracks the **next bucket to split**.
  - ▶ When any bucket overflows, split the bucket at the pointer location.
- Use multiple hashes to find the right bucket for a given key.
- Can use different overflow criterion:
  - Space Utilization
  - Average Length of Overflow Chains

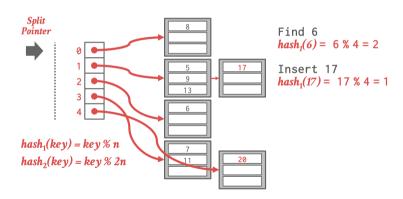


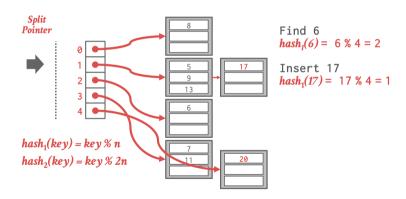


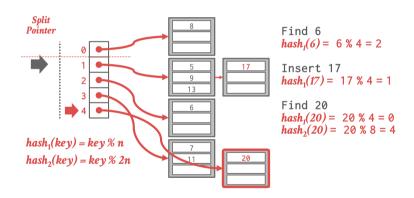


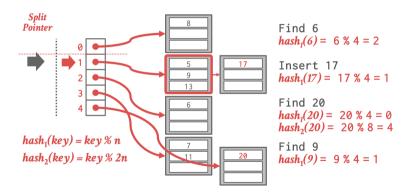




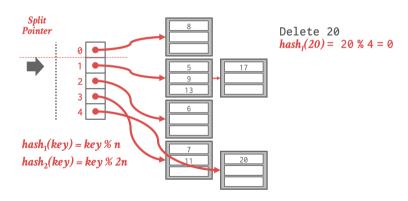


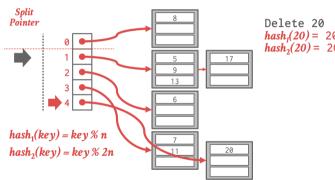




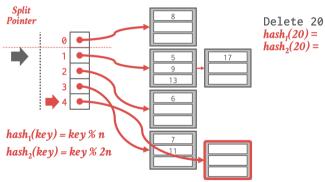


- Splitting buckets based on the split pointer will eventually get to all overflowed buckets.
  - When the pointer reaches the last slot, delete the first hash function and move back to beginning.
- The pointer can also **move backwards** when buckets are empty.

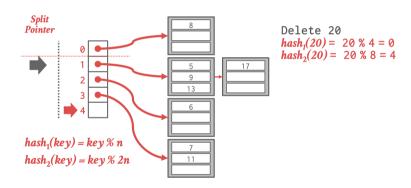


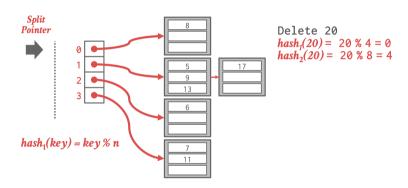


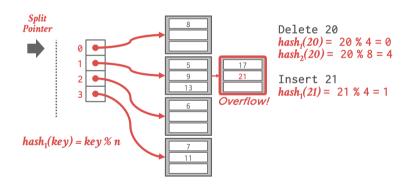
 $hash_1(20) = 20 \% 4 = 0$   $hash_2(20) = 20 \% 8 = 4$ 



 $hash_1(20) = 20 \% 4 = 0$   $hash_2(20) = 20 \% 8 = 4$ 







# Linear Hashing vs. Extendible Hashing

- ullet Moving from  $hash_i$  to  $hash_{i+1}$  in Linear Hashing corresponds to
- Bumping up the global counter in Extendible Hashing
- Linear Hashing
  - Directory is gradually doubled over the course of a round
  - A directory can be avoided by a clever choice of the buckets to split
  - More flexibility: need not always split the appropriate dense bucket

#### Conclusion

- Hash tables are fast data structures that support O(1) look-ups
- Used all throughout the DBMS internals.
  - Examples: Page Table (Buffer Manager), Lock Table (Lock Manager)
- Trade-off between speed and flexibility.

#### Conclusion

- Hash tables are usually <u>not</u> what you want to use for a indexing tables
  - Lack of ordering in widely-used hashing schemes
  - ► Lack of locality of reference more disk seeks
  - Persistent data structures are much more complex (logging and recovery)
  - Reference
- We will cover B+Trees in the next lecture
  - ▶ a.k.a., "The Greatest Data Structure of All Time!"