

Modern OLTP Indexes (Part 2)

Recap

Versioned Latch Coupling

- Optimistic coupling scheme where writers are not blocked on readers.
- Provides the benefits of optimistic coupling without wasting too much work.
- Every latch has a version counter.
- Writers traverse down the tree like a reader
 - ▶ Acquire latch in target node to block other writers.
 - ▶ Increment version counter before releasing latch.
 - ▶ Writer thread increments version counter and acquires latch in a single compare-and-swap instruction.
- Reference

Bw-Tree

- Latch-free B+Tree index built for the Microsoft Hekaton project.
- **Key Idea 1: Delta Updates**
 - ▶ No in-place updates.
 - ▶ Reduces cache invalidation.
- **Key Idea 2: Mapping Table**
 - ▶ Allows for CaS of physical locations of pages.
- **Reference**

Today's Agenda

- Trie Index
- Trie Variants
 - ▶ Judy Arrays (HP)
 - ▶ ART Index (HyPer)
 - ▶ Masstree (Silo)

BTree

B+Tree

Merkle

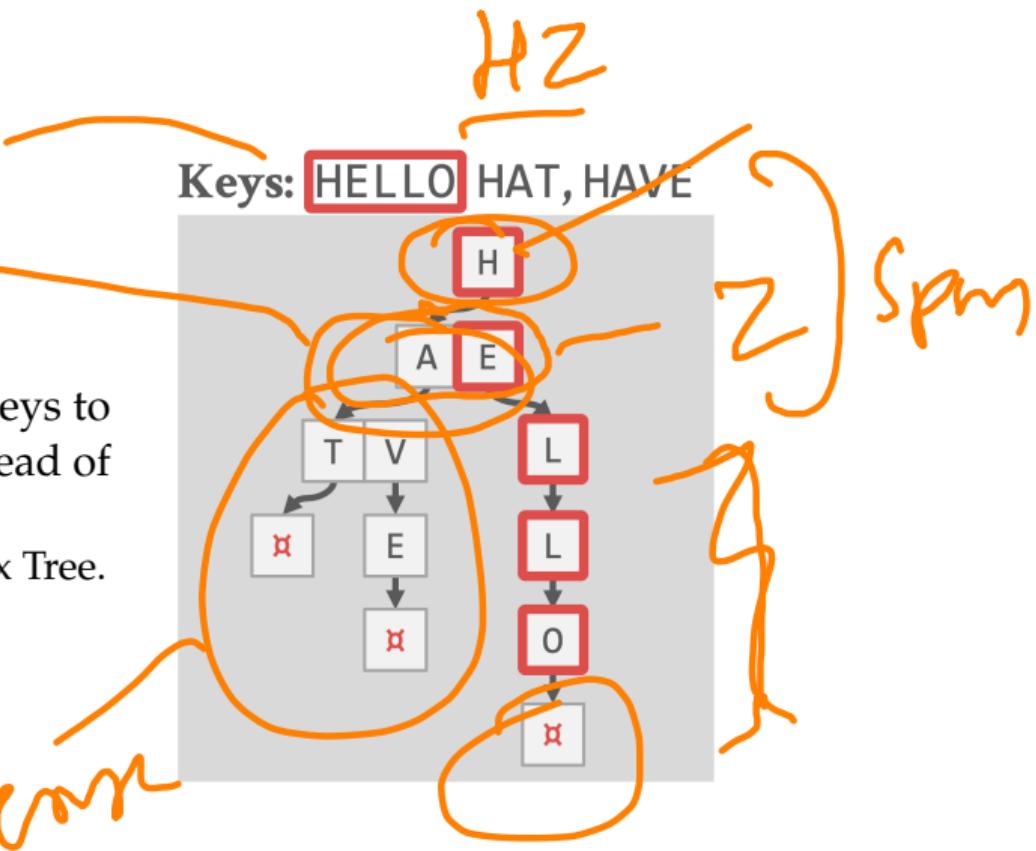
Trie Index

Observation

- The inner node keys in a B+Tree cannot tell you whether a key exists in the index.
- You must always traverse to the leaf node.
- This means that you could have (at least) one buffer pool page miss per level in the tree just to find out a key does not exist.

Trie Index

Sparsity



- Use a digital representation of keys to examine prefixes one-by-one instead of comparing entire key.
 - ▶ a.k.a., Digital Search Tree, Prefix Tree.

Properties

- Shape only depends on key space and lengths.
 - ▶ Does not depend on existing keys or insertion order.
 - ▶ Does not require rebalancing operations.
- All operations have $O(k)$ complexity where k is the length of the key.
 - ▶ The path to a leaf node represents the key of the leaf
 - ▶ Keys are stored implicitly and can be reconstructed from paths.

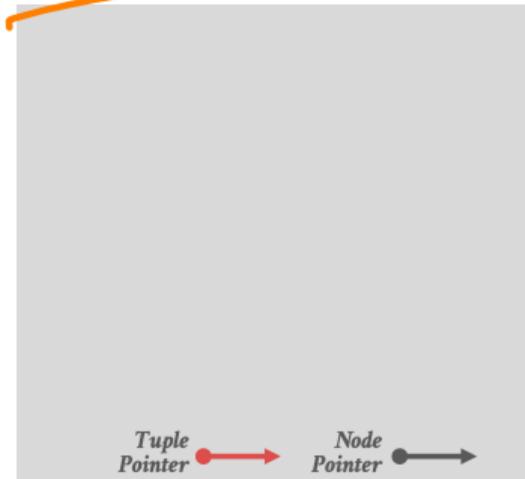
Key Span

- The span of a trie level is the number of bits that each partial key / digit represents.
 - ▶ If the digit exists in the corpus, then store a pointer to the next level in the trie branch.
 - ▶ Otherwise, store null.
- This determines the fan-out of each node and the physical height of the tree.

Key Span

1-bit

Span Trie



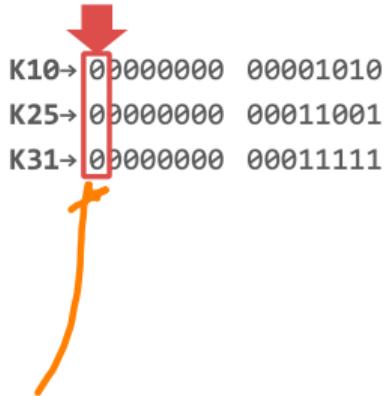
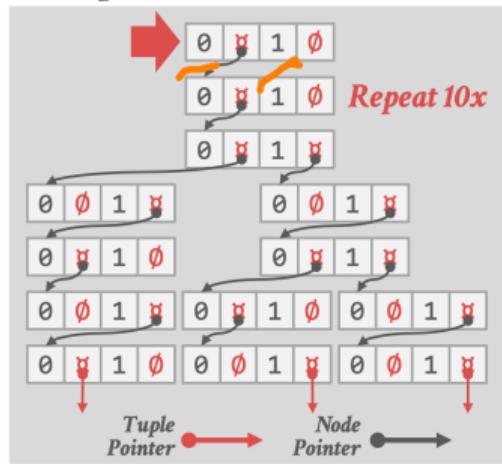
K10 → 00000000 00001010

K25 → 00000000 00011001

K31 → 00000000 00011111

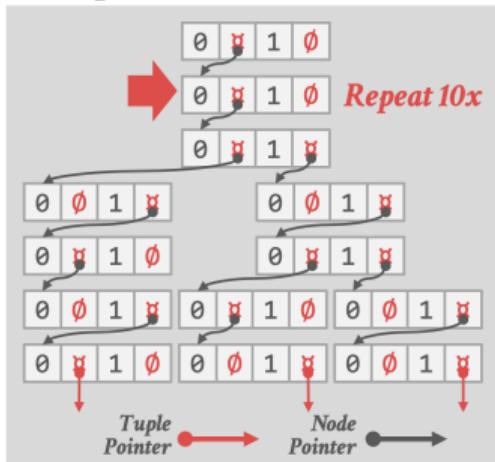
Key Span

1-bit Span Trie



Key Span

1-bit Span Trie



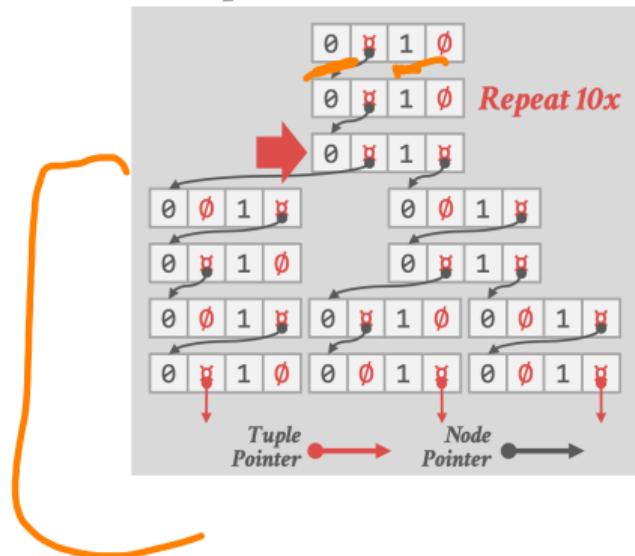
K10 → 00000000 00001010

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K31 → 00000000 00011111

Key Span

1-bit Span Trie

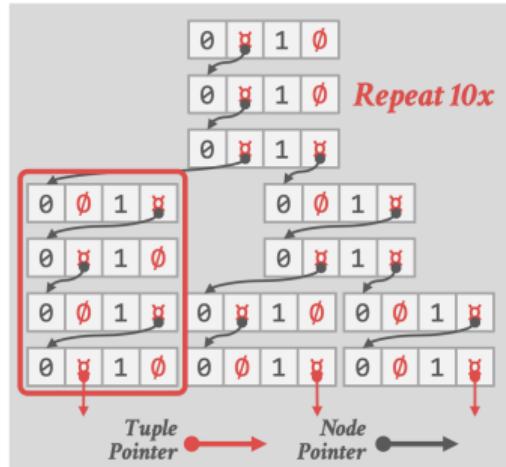


K10 → 00000000 00001010
K25 → 00000000 00011001
K31 → 00000000 00011111

T
Span
Denr

Key Span

1-bit Span Trie



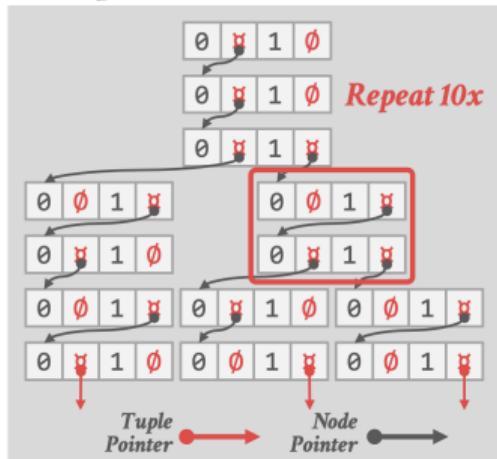
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Key Span

1-bit Span Trie



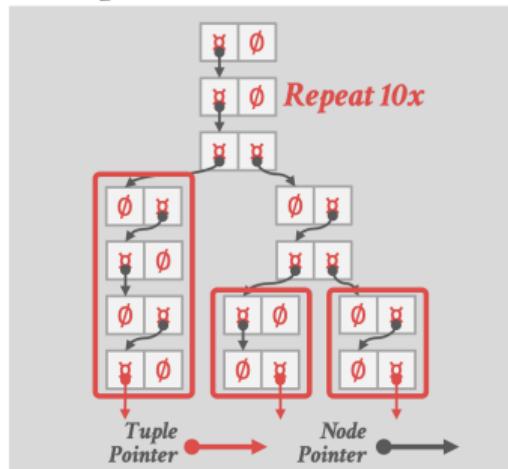
K10 → 00000000 00001010

K25 → 00000000 00011001

K31 → 00000000 00011111

Key Span

1-bit Span Trie



K10 → 00000000 00001010

K25 → 00000000 00011001

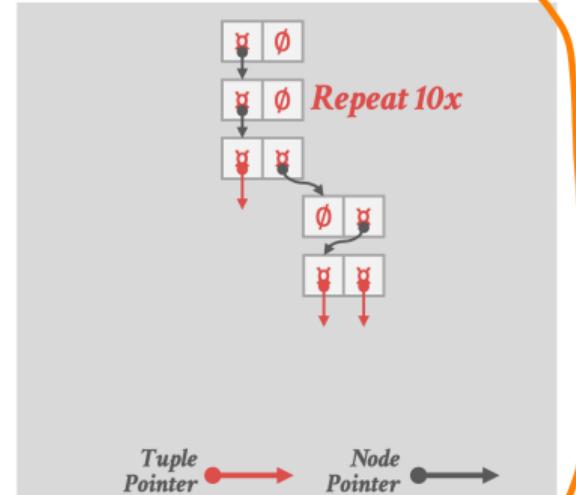
K31 → 00000000 00011111

Radix Tree

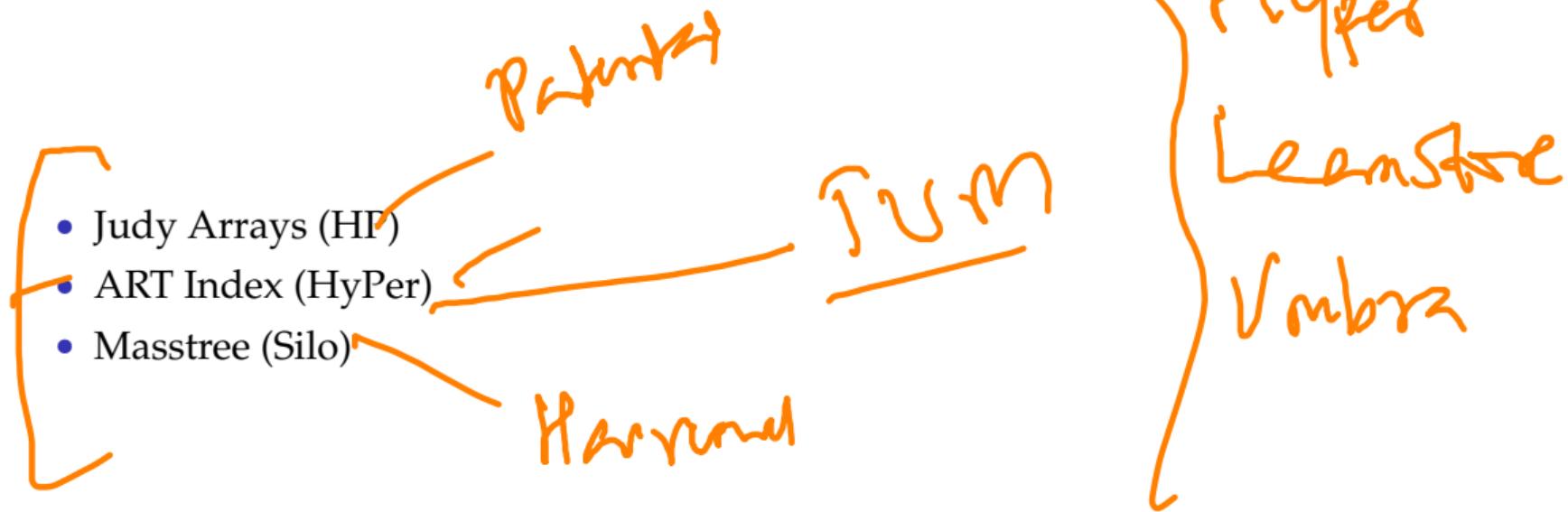
- Omit all nodes with only a single child.
 - ▶ a.k.a., Patricia Tree.
- Can produce false positives
- So the DBMS always checks the original tuple to see whether a key matches.

↑
spoox filter

1-bit Span Radix Tree



Trie Variants



Judy Arrays

Judy Arrays

- Variant of a 256-way radix tree (since a byte is 8 bits)
- Goal: Minimize the amount of cache misses per lookup
- First known radix tree that supports adaptive node representation.
- Three array types
 - ▶ Judy1: Bit array that maps integer keys to true/false.
 - ▶ JudyL: Map integer keys to integer values
 - ▶ JudySL: Map variable-length keys to integer values.
- Open-Source Implementation (LGPL).
- Patented by HP in 2000. Expires in 2022.
- Reference

Caching Behavior

Key Value
010..0 → 0/1
fixed integer → int
var. .. → int

Judy Arrays

- Do not store meta-data about node in its header.
 - ▶ This could lead to additional cache misses.
 - ▶ Instead store meta-data in the pointer to that node.
- Pack meta-data about a node in 128-bit fat pointers stored in its parent node.
 - ▶ Node Type
 - ▶ Population Count
 - ▶ Child Key Prefix / Value (if only one child below)
 - ▶ 64-bit Child Pointer
- Reference

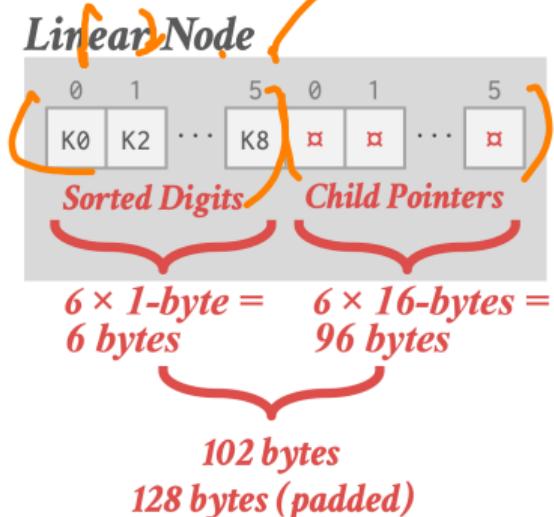
Node Types

- Every node can store up to 256 digits.
- Not all nodes will be 100% full though.
- Adapt node's organization based on its keys.
 - ▶ Linear Node: Sparse Populations (*i.e.*, small number of digits at a level)
 - ▶ Bitmap Node: Typical Populations
 - ▶ Uncompressed Node: Dense Population

Linear Nodes

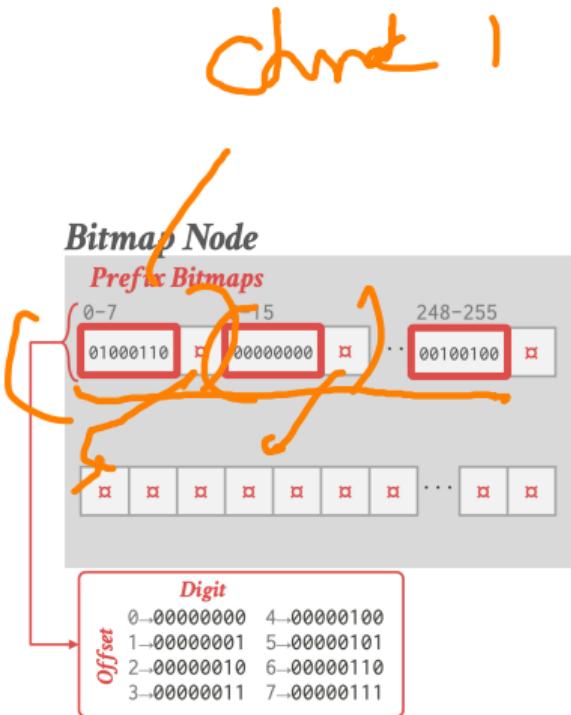
256 digits

- Store sorted list of partial prefixes up to two cache lines.
 - ▶ Original spec was one cache line
- Store separate array of pointers to children ordered according to prefix sorted.
- Can do a linear scan on sorted digits to find a match.



Bitmap Nodes

- 256-bit map to mark whether a prefix (*i.e.*, digit) is present in node.
- Bitmap is divided into eight one-byte chunks
- Each chunk has a pointer to a sub-array with pointers to child nodes.



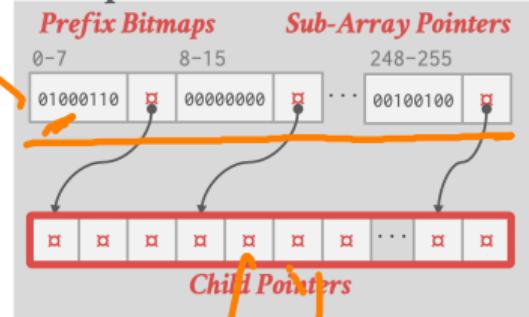
Bitmap Nodes

- To look up a digit (e.g., "1")
- Check at offset 1 in prefix bitmap
- Count the number of 1s that came before offset
- Position to jump into the chunk's sub-array

5 digits

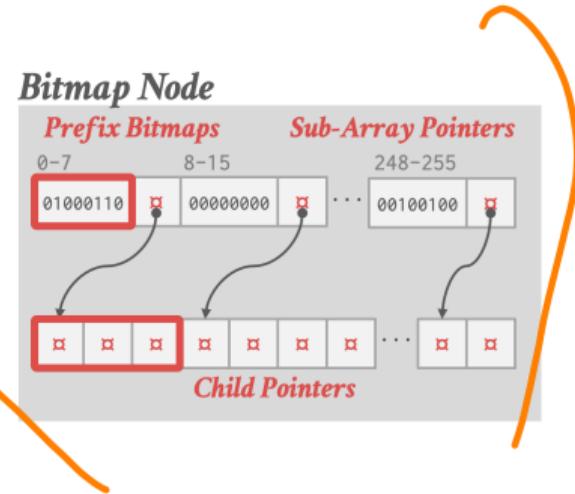
"56"

Bitmap Node



Bitmap Nodes

- There is a maximum size for the child pointer array
- Although we could present 256 digits in the prefix bitmap, we don't have enough space to store pointers for all of them



Adaptive Radix Tree (ART)

TUM

Adaptive Radix Tree (ART)

Innovating

- Developed for TUM's HyPer DBMS in 2013.
- 256-way radix tree that supports different node types based on its population.
 - ▶ Stores meta-data about each node in its header.

Reference

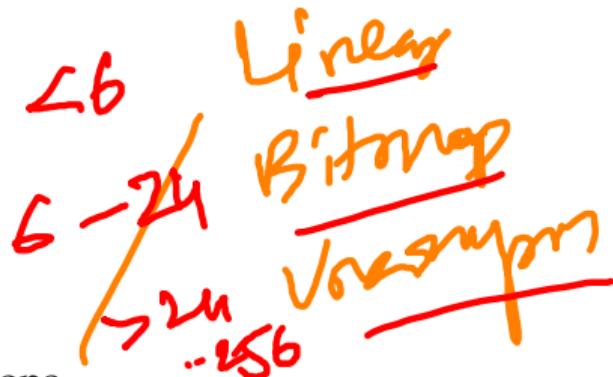
ART vs. JUDY

- **Difference 1: Node Types**

- ▶ Judy has three node types with different organizations.
- ▶ ART has four nodes types that (mostly) vary in the maximum number of children.

- **Difference 2: Value Type**

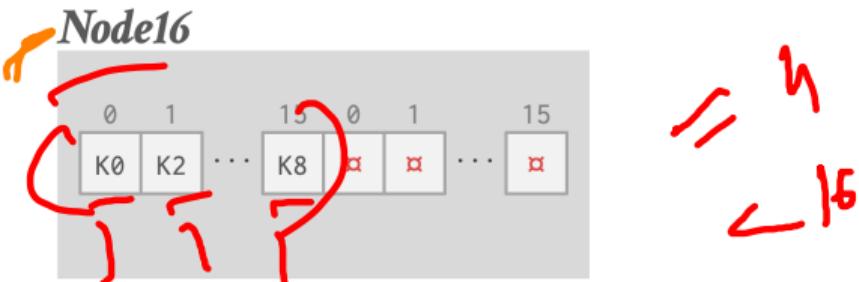
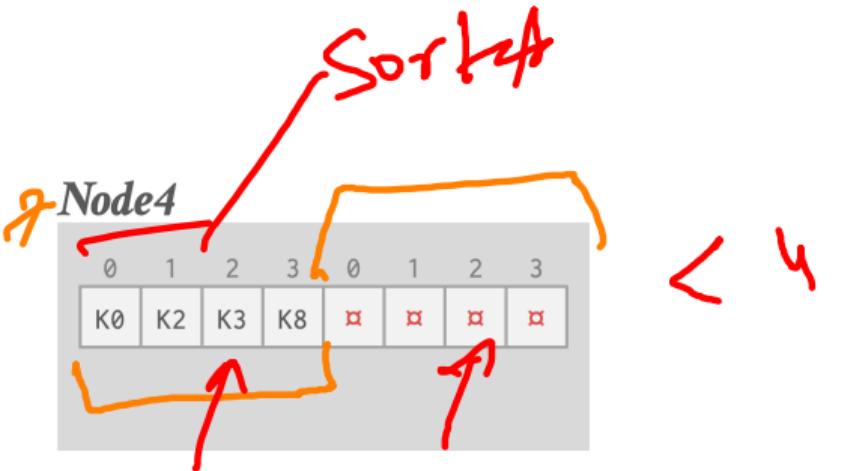
- ▶ Judy is a general-purpose associative array. It "owns" the keys and values.
- ▶ ART is a table index and does not need to cover the full keys. Values are pointers to tuples.



{ emp id } → { tuple pointer }

Inner Node Types

- Store only the 8-bit digits that exist at a given node in a sorted array.
- The offset in sorted digit array corresponds to offset in value array.
- Pack in multiple digits into a single node to improve cache locality.
- First two node types support a small number of digits at that node.
- Use SIMD to quickly find a matching digit per node



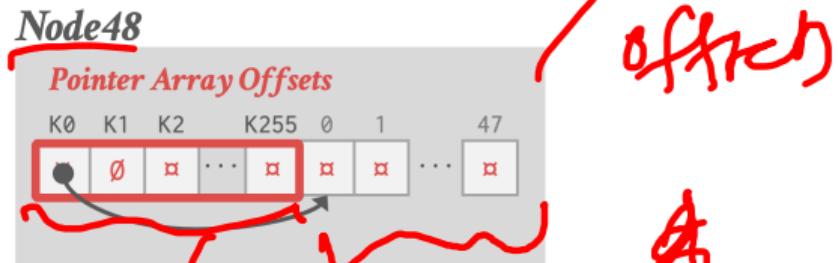
asm

Single Node. Multiple Digits.

Inner Node Types

of digits $\in [16, 48]$

- Instead of storing 1-byte digits, maintain an array of 1-byte offsets to a child pointer array that is indexed on the digit bits.

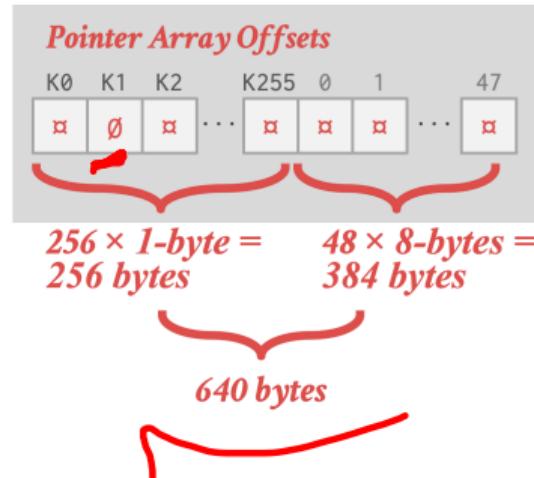


2⁵⁶ possible
digit

Inner Node Types

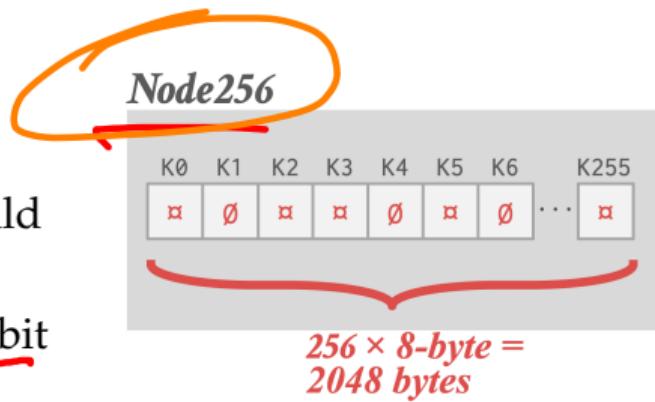
- Instead of storing 1-byte digits, maintain an array of 1-byte offsets to a child pointer array that is indexed on the digit bits.

Node48



Inner Node Types

- Store an array of 256 pointers to child nodes.
- This covers all possible values in 8-bit digits.
- Same as the Judy Array's Uncompressed Node.



Binary Comparable Keys

Int 32 x 8

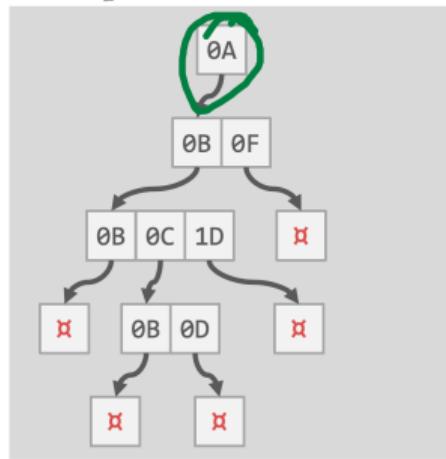
- Not all attribute types can be decomposed into binary comparable digits for a radix tree.
 - Unsigned Integers:** Byte order must be flipped for little endian machines.
 - Signed Integers:** Flip two's-complement so that negative numbers are smaller than positive.
 - Floats:** Classify into group (neg vs. pos, normalized vs. denormalized), then store as unsigned integer.
 - Compound:** Transform each attribute separately.

hex 1 EEEF → 50

string
dictionary

Binary Comparable Keys

8-bit Span Radix Tree

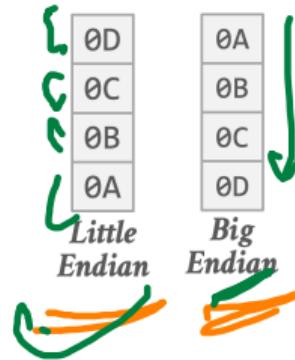


Int Key: 168496141

Hex Key: 0A 0B 0C 0D

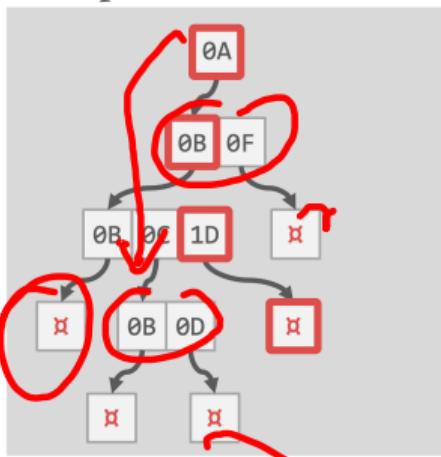
Find 658205

Hex 0A 0B 1D



Binary Comparable Keys

8-bit Span Radix Tree

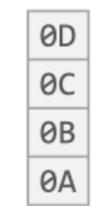


Int Key: 168496141

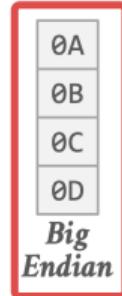
Hex Key: 0A 0B 0C 0D

Find 658205

Hex 0A 0B 1D



Little
Endian



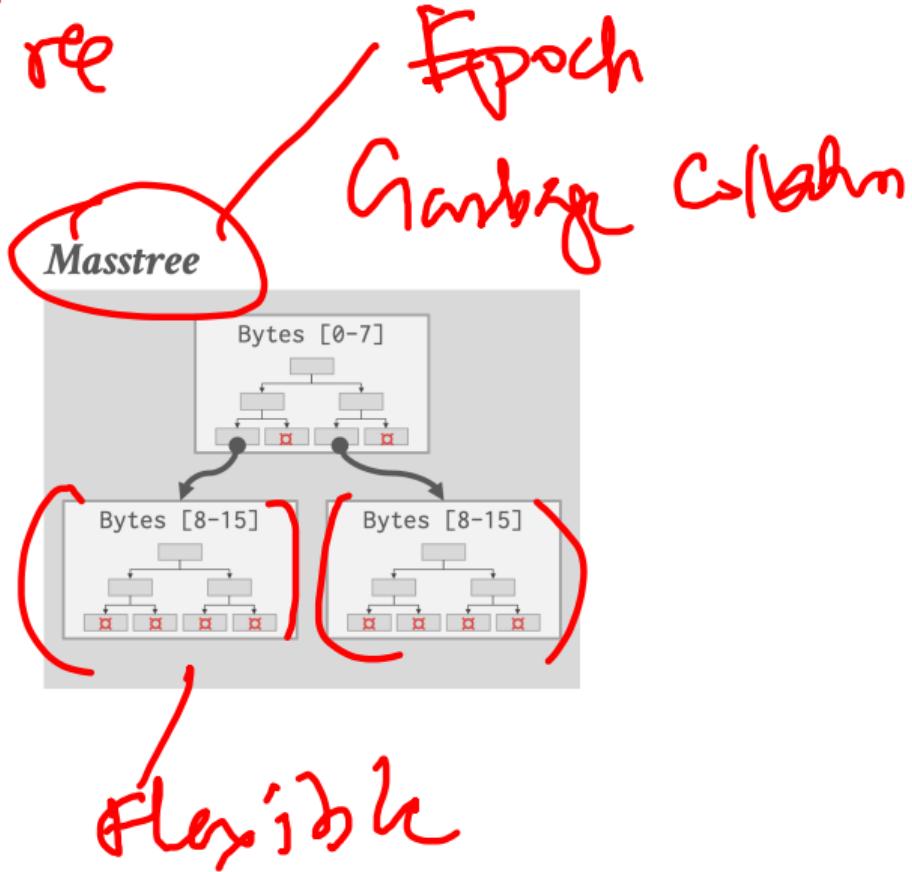
Big
Endian

Top
print
Value

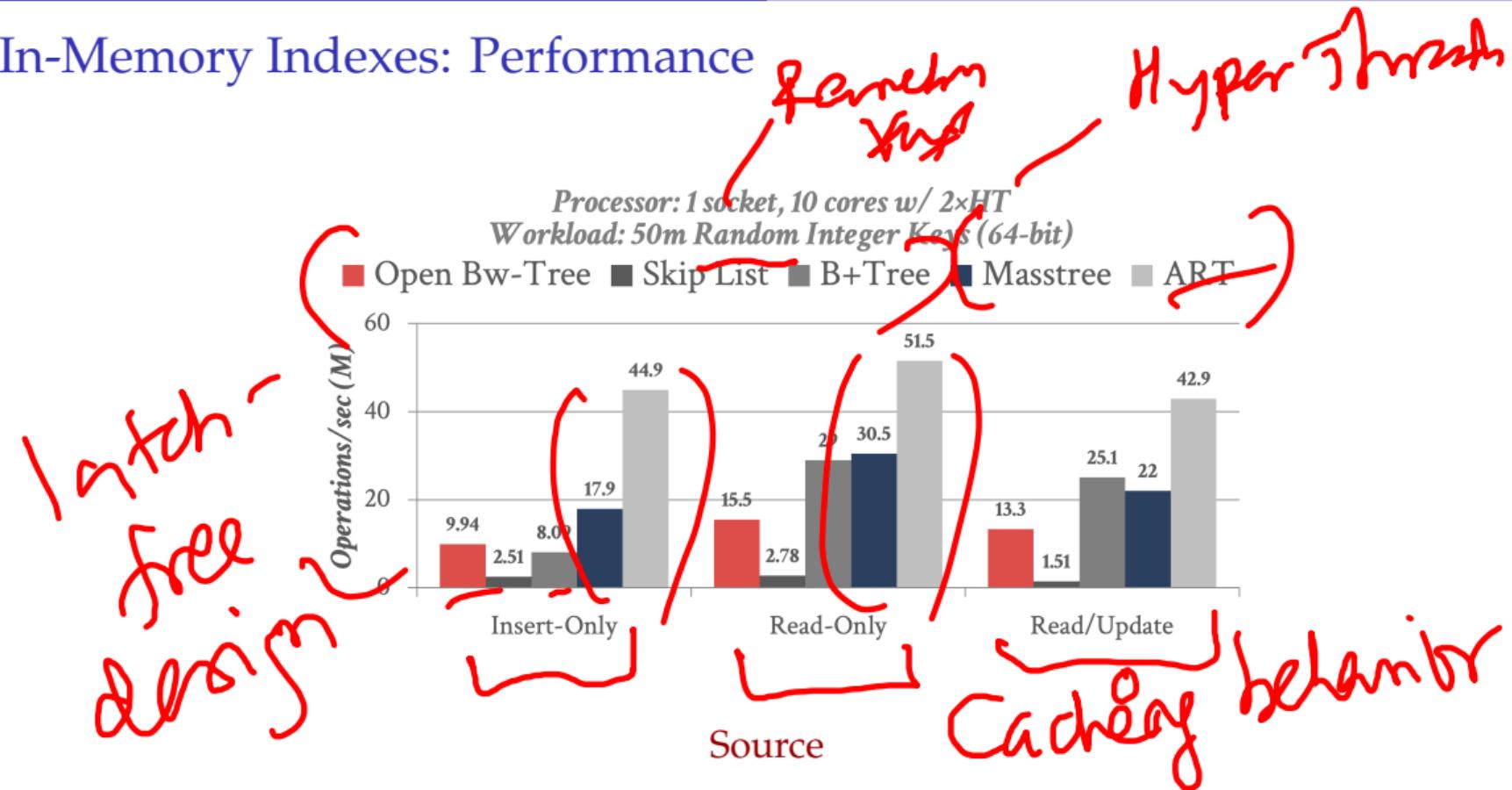
MassTree

Masstree — Trie + B+Tree

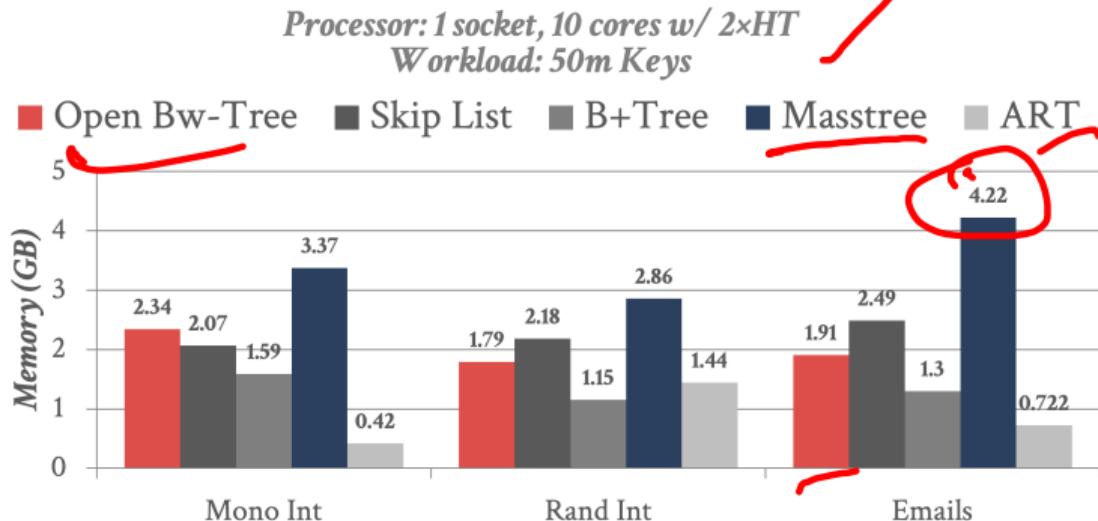
- Instead of using different layouts for each trie node based on its size, use an entire B+Tree.
- Part of the Harvard Silo project.
 - Each B+tree represents 8-byte span.
 - Optimized for long keys (e.g., URLs).
 - Uses a latching protocol that is similar to versioned latches.
 - In any trie node, you can have pointers to tuples in the leaf nodes of the B+tree
- Reference



In-Memory Indexes: Performance

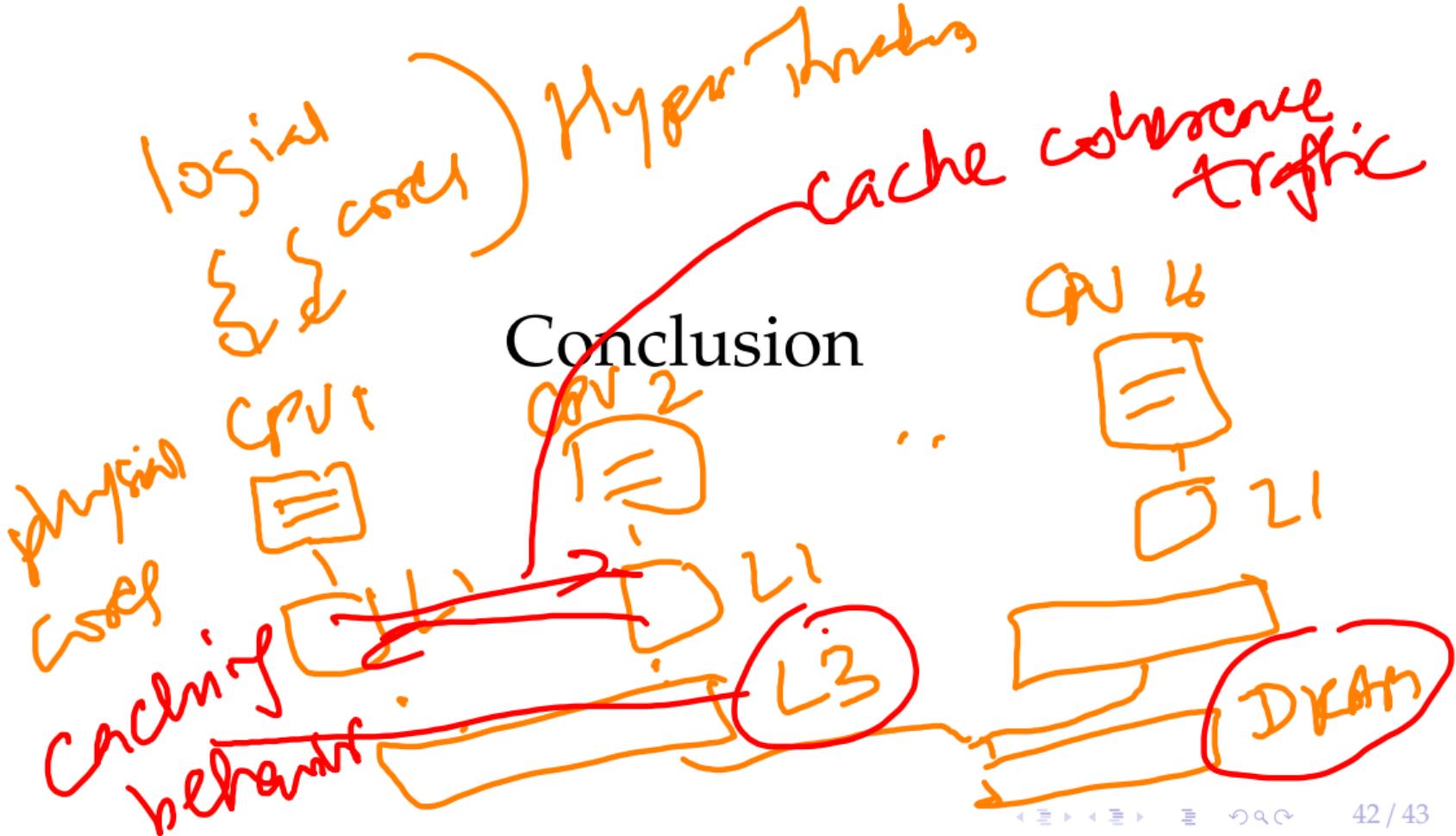


In-Memory Indexes: Performance



Monotonicity Invariant

Source



Conclusion

- Bw-Tree vs ART
- Radix trees have interesting properties, but a well-written B+tree is still a solid design choice.
- Next Class
 - ▶ Executing a query

Caching behavior
cache cluster traffic]

ACID
short-haul
long-haul
in-memory
batch type
DMLs