



# Lecture 10: Larger-than-Memory Databases

CREATING THE NEXT®

# Administrivia

4420 (6422)

mp4

- Project proposal due ~~on Sep 29 (Wednesday)~~.
- Submit 2-page PDF + 3-minute recording by Tuesday @ 11:59 PM via Gradescope.
- Recordings must be named "Team-5-proposal.mp4".

project  
topic  
internal

.pdf

Assignments

# Recap

# Naïve Compression

the 01  
and 00  
making  
less 0100:

## Choice 1: Entropy Encoding

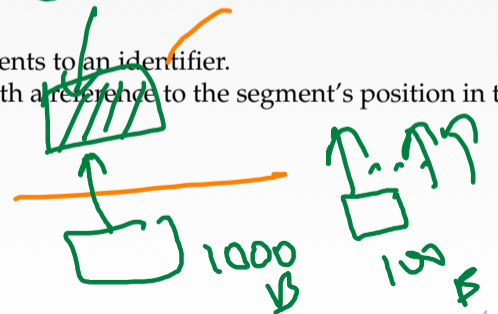
- ▶ More common sequences use less bits to encode, less common sequences use more bits to encode.

## Choice 2: Dictionary Encoding

- ▶ Build a data structure that maps data segments to an identifier.
- ▶ Replace the segment in the original data with a reference to the segment's position in the dictionary data structure.

W9

DRAM  
& DISK



# Columnar Compression

- Null Suppression
- Run-length Encoding
- Bitmap Encoding
- Delta Encoding
- Incremental Encoding
- Mostly Encoding
- Dictionary Encoding

*RWS*

*64 bit  
mostly 8 bit*

# Background

# Observation

- DRAM is expensive (roughly \$? per GB)
  - ▶ Expensive to buy.
  - ▶ Expensive to maintain (e.g., energy associated with refreshing DRAM state).
- SSD is \$? times cheaper than DRAM (roughly \$? per GB)
- It would be nice if an in-memory DBMS could use cheaper storage without having to bring in the entire baggage of a disk-oriented DBMS.

in-memory  
database

10

50x

0.2

in-memory  
↑

disk

# Larger-than-Memory Databases

Disk  $\rightarrow$  DRAM  $\rightarrow$  CPU  $\rightarrow$  Register  
Cache

- Allow an in-memory DBMS to store/access data on disk without bringing back all the slow parts of a disk-oriented DBMS.
  - ▶ Minimize the changes that we make to the DBMS that are required to deal with disk-resident data.
  - ▶ It is better to have only the buffer manager deal with moving data around
  - ▶ Rest of the DBMS can assume that data is in DRAM.
- Need to be aware of hardware access methods
  - ▶ In-memory Access = Tuple-Oriented. Why?
  - ▶ Disk Access = Block-Oriented.

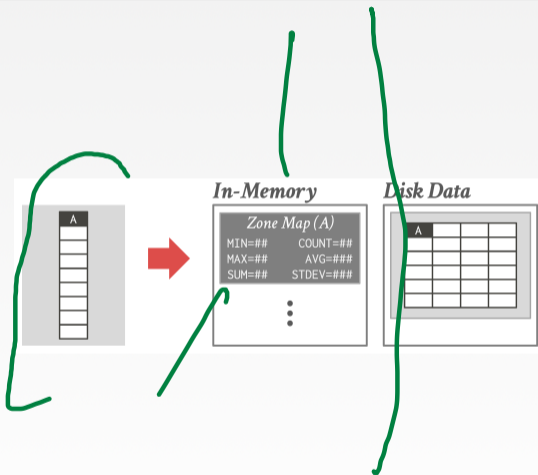
Cache line size: 64 B

4 KB  
100 B



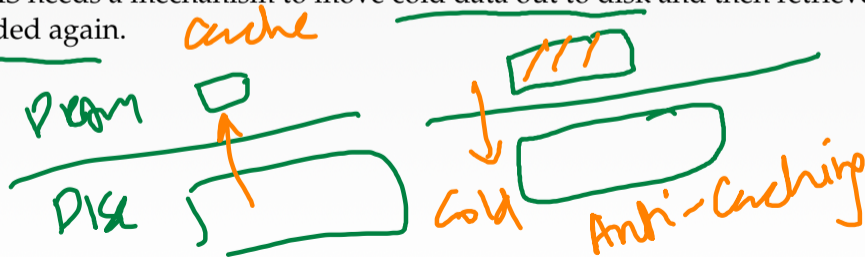
# OLAP

- OLAP queries generally access the entire table.
- Thus, an in-memory DBMS may handle OLAP queries in the same a disk-oriented DBMS does.
- All the optimizations in a disk-oriented DBMS apply here (e.g., scan sharing, buffer pool bypass).

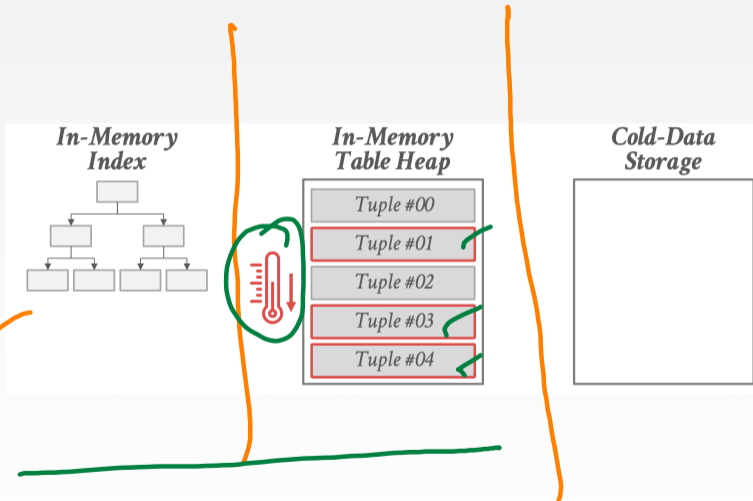


# OLTP

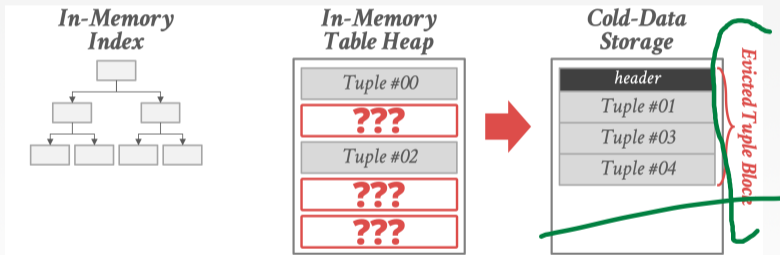
- OLTP workloads almost always have hot and cold portions of the database.
  - ▶ We can assume txns will almost always access hot tuples.
- Goal: The DBMS needs a mechanism to move cold data out to disk and then retrieve it if it is ever needed again.



# Larger-than-Memory Databases

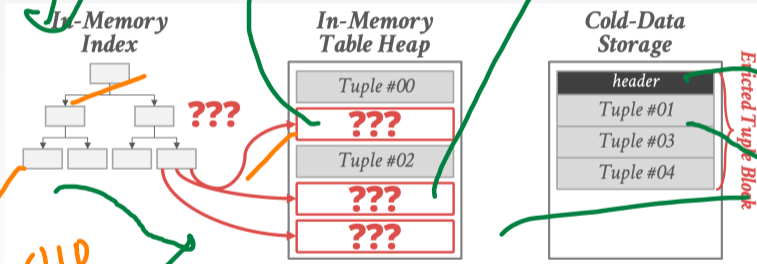


# Larger-than-Memory Databases



Tuple / Page

# Larger-than-Memory Databases

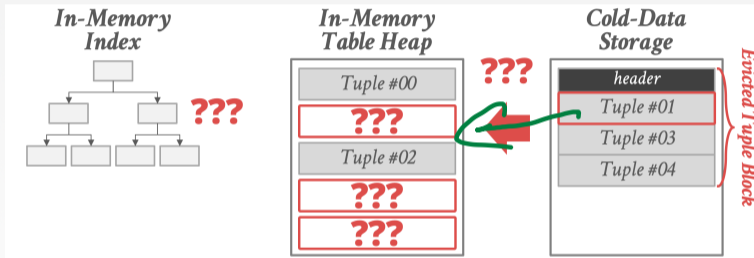


Primary Key  
 101 → 0x110  
 103 → 0x120  
 Value

Emp 101 : ...  
 103 : ...

in-memory  
 0x110  
 on disk  
 (1005,  
 7)

# Larger-than-Memory Databases



```
SELECT *  
FROM table  
WHERE id = <Tuple 01>
```

# Design Decisions

# Design Decisions

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- Run-time Operation

- ▶ Cold Data Identification: When the DBMS runs out of DRAM space, what data should we evict?

- Eviction Policies

- ▶ Timing: When to evict data?
- ▶ Evicted Tuple Metadata: During eviction, what meta-data should we keep in DRAM to track disk-resident data and avoid false negatives?

- Data Retrieval Policies

- ▶ Granularity: When we need data, how much should we bring in?
- ▶ Merging: Where to put the retrieved data?

Reference

DRAM  
|  
DISK



# Cold Data Identification

## Choice 1: On-line

- ▶ The DBMS monitors txn access patterns and tracks how often tuples/pages are used.
- ▶ Embed the tracking meta-data directly in tuples/pages.

## Choice 2: Off-line

- ▶ Maintain a tuple access log during txn execution.
- ▶ Process in background to compute frequencies.

oscillating / stationary

# Eviction Timing

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- **Choice 1: Threshold**

- ▶ The DBMS monitors memory usage and begins evicting tuples when it reaches a threshold.
- ▶ The DBMS must manually move data.

- **Choice 2: On Demand**

- ▶ The DBMS/OS runs a replacement policy to decide when to evict data to free space for new data that is needed.

# Evicted Tuple Metadata

## Choice 1: Tuple Tombstones

- ▶ Leave a marker that points to the on-disk tuple.
- ▶ Update indexes to point to the tombstone tuples.

## Choice 2: Bloom Filters

- ▶ Use an in-memory, approximate data structure for each index.
- ▶ Only tells us whether tuple exists or not (with potential false positives)
- ▶ Check on-disk index to find actual location

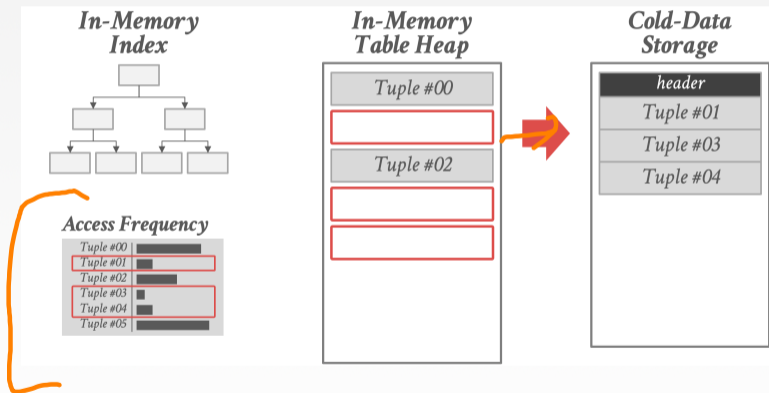
## Choice 3: DBMS Managed Pages

- ▶ DBMS tracks what data is in memory vs. on disk.

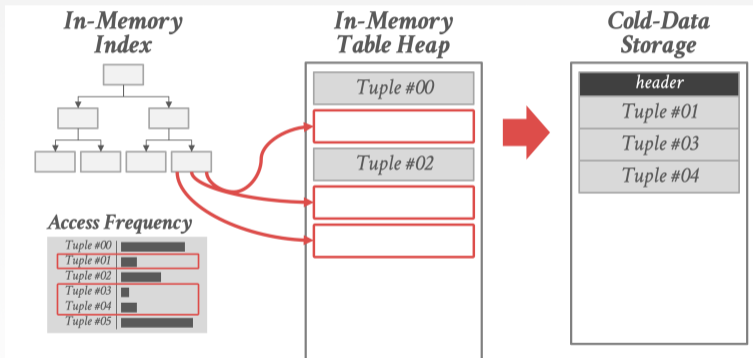
## Choice 4: OS Virtual Memory

- ▶ OS tracks what data is on in memory vs. on disk.

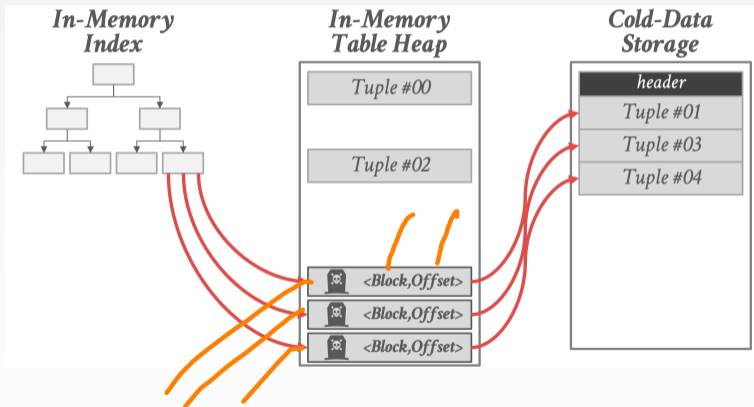
# Evicted Tuple Metadata



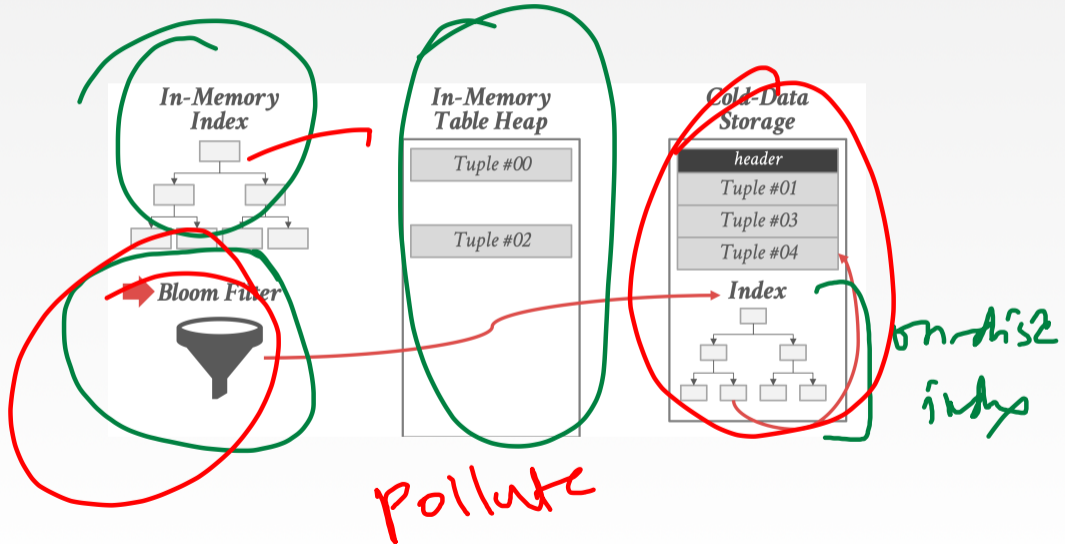
# Evicted Tuple Metadata



# Evicted Tuple Metadata



# Evicted Tuple Metadata



# Data Retrieval Granularity

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## • Choice 1: All Tuples in Block

- ▶ Merge all the tuples retrieved from a block regardless of whether they are needed.
- ▶ More CPU overhead to update indexes.
- ▶ Tuples are likely to be evicted again.

## • Choice 2: Only Tuples Needed

- ▶ Only merge the tuples that were accessed by a query back into the in-memory table heap.
- ▶ Requires additional bookkeeping to track holes. ~

*It depends*



# Merging Threshold

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## • Choice 1: Always Merge

- ▶ Retrieved tuples are always put into table heap.

## • Choice 2: Merge Only on Update

- ▶ Retrieved tuples are only merged into table heap if they are used in an UPDATE statement.
- ▶ All other tuples are put in a temporary buffer.

## • Choice 3: Selective Merge

- ▶ Keep track of how often each block is retrieved.
- ▶ If a block's access frequency is above some threshold, merge it back into the table heap.

# Retrieval Mechanism

OLTP

- **Choice 1: Abort-and-Restart**

- ▶ Abort the txn that accessed the evicted tuple.
- ▶ Retrieve the data from disk and merge it into memory with a separate background thread.
- ▶ Restart the txn when the data is ready.
- ▶ Requires MVCC to guarantee consistency for large txns that access data that does not fit in memory.

- **Choice 2: Synchronous Retrieval**

- ▶ Stall the txn when it accesses an evicted tuple while the DBMS fetches the data and merges it back into memory.

# Case Studies

# Case Studies

## • Tuple-Oriented Systems

- ▶ H-Store – Anti-Caching
- ▶ Hekaton – Project Siberia
- ▶ EPFL's VoltDB Prototype
- ▶ Apache Geode – Overflow Tables

## • Block-Oriented Systems

- ▶ LeanStore – Hierarchical Buffer Pool
- ▶ Umbra – Variable-length Buffer Pool
- ▶ MemSQL – Columnar Tables

TUM  
Hyper  
Tableau

# H-Store – Anti-Caching

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- Cold Tuple Identification: On-line Identification
- Eviction Timing: Administrator-defined Threshold
- Evicted Tuple Metadata: Tombstones
- Retrieval Mechanism: Abort-and-restart Retrieval
- Retrieval Granularity: Block-level Granularity
- Merging Threshold: Always Merge
- Reference

# HEKATON – PROJECT SIBERIA

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- Cold Tuple Identification: Off-line Identification
- Eviction Timing: Administrator-defined Threshold
- Evicted Tuple Metadata: Bloom Filters
- Retrieval Mechanism: Synchronous Retrieval
- Retrieval Granularity: Tuple-level Granularity
- Merging Threshold: Always Merge
- Reference

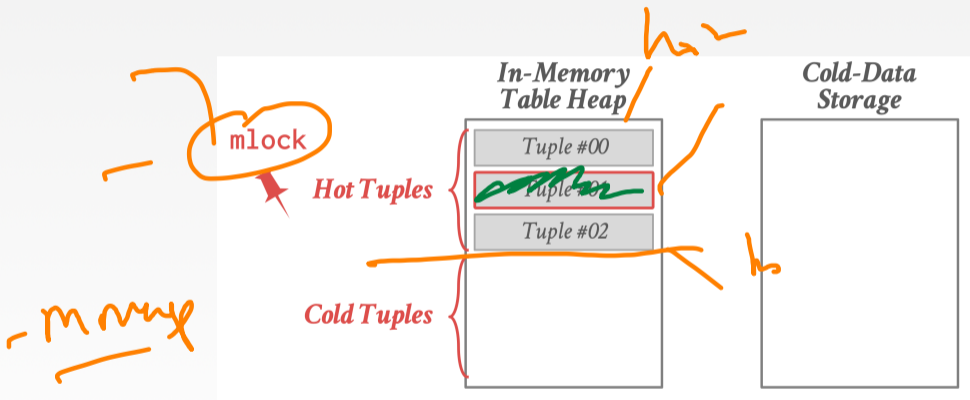
MSR

# EPFL VOLTDB

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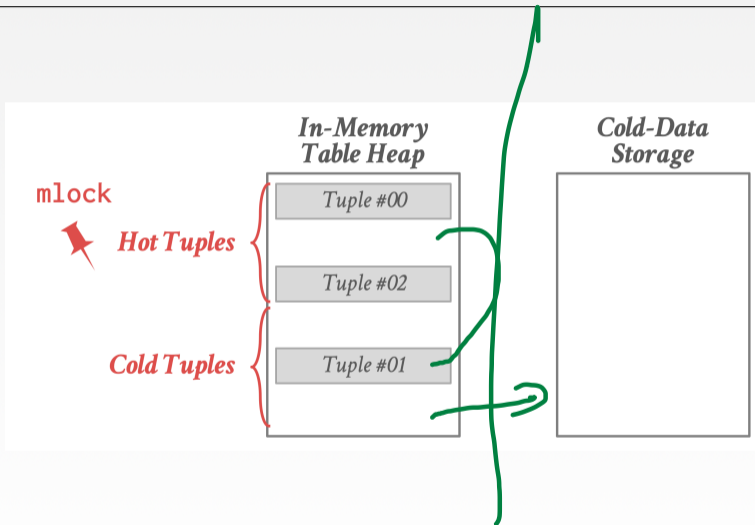
- Cold Tuple Identification: Off-line Identification
- Eviction Timing: OS Virtual Memory
- Evicted Tuple Metadata: N/A
- Retrieval Mechanism: Synchronous Retrieval
- Retrieval Granularity: Page-level Granularity
- Merging Threshold: Always Merge
- Reference

# EPFL VOLTDB

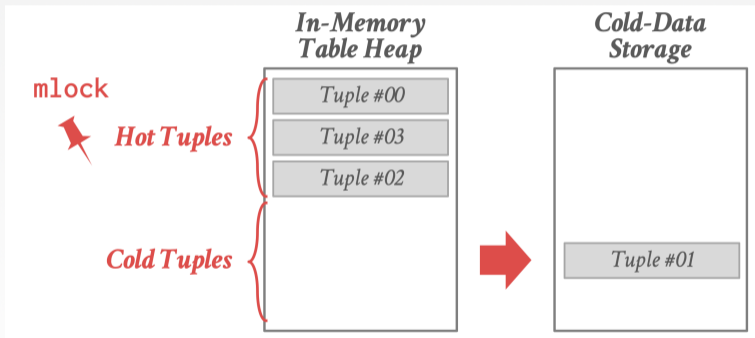




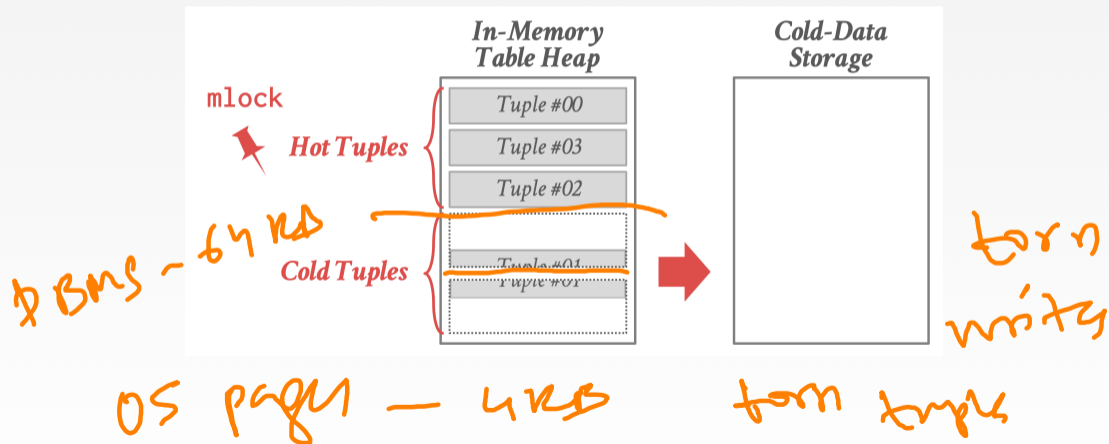
# EPFL VOLTDDB



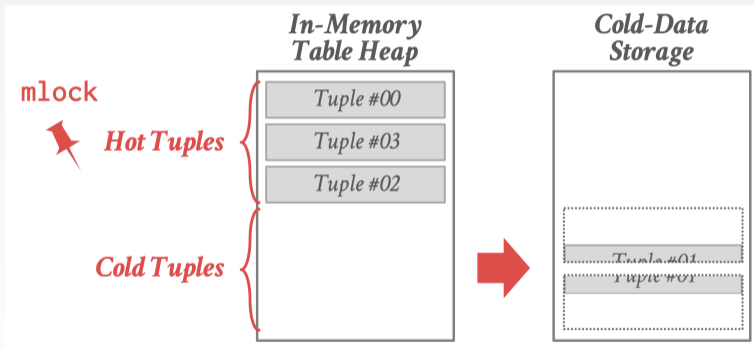
# EPFL VOLTDB



## EPFL VOLTDB



# EPFL VOLTDB



# APACHE GEODE – OVERFLOW TABLES

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- Cold Tuple Identification: On-line Identification
- Eviction Timing: Administrator-defined Threshold
- Evicted Tuple Metadata: Tombstones (?)
- Retrieval Mechanism: Synchronous Retrieval
- Retrieval Granularity: Tuple-level Granularity
- Merging Threshold: Merge Only on Update (?)
- Reference

# Observation

- The systems that we have discussed so far are tuple-oriented.
  - ▶ The DBMS must track meta-data about individual tuples.
  - ▶ Does not reduce storage overhead of indexes.
  - ▶ Indexes may occupy up to 60% of DRAM in an OLTP database.
- Goal: Need an unified way to evict ~~cold data~~ from both tables and indexes with low overhead...

derived  
data

structure

memory  
footprint

# LeanStore

- In-memory storage manager from TUM that supports larger-than-memory databases.
  - ▶ Handles both tuples + indexes
  - ▶ Not part of the HyPer project
- Hierarchical + Randomized Block Eviction
  - ▶ Use pointer swizzling to determine whether a block is evicted or not.
  - ▶ Instead of tracking when pages are accessed, randomly evict pages and then track whether they ended up getting used.
  - ▶ If yes, put it back in the hot space.
  - ▶ If not, then evict it.

## Reference



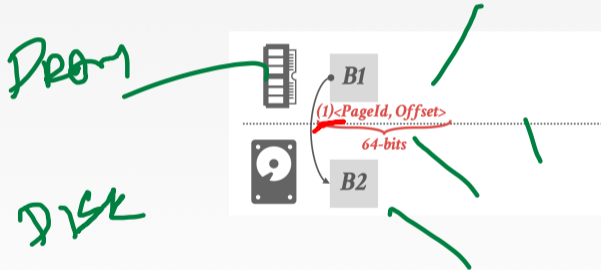
# Pointer Swizzling

0 | 1

- Switch the contents of pointers based on whether the target object resides in memory or on disk.
- Decentralized way to track whether a page is in memory or not.
- We track everything with 64-bit pointers, but currently only use 48-bits.
  - ▶ Use first bit in address to tell what kind of address it is.
  - ▶ Only works if there is only one pointer to the object.

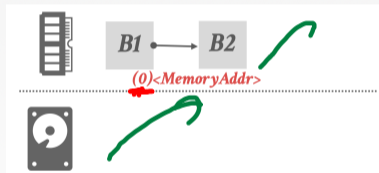


# Pointer Swizzling



# Pointer Swizzling

0



# Replacement Strategy

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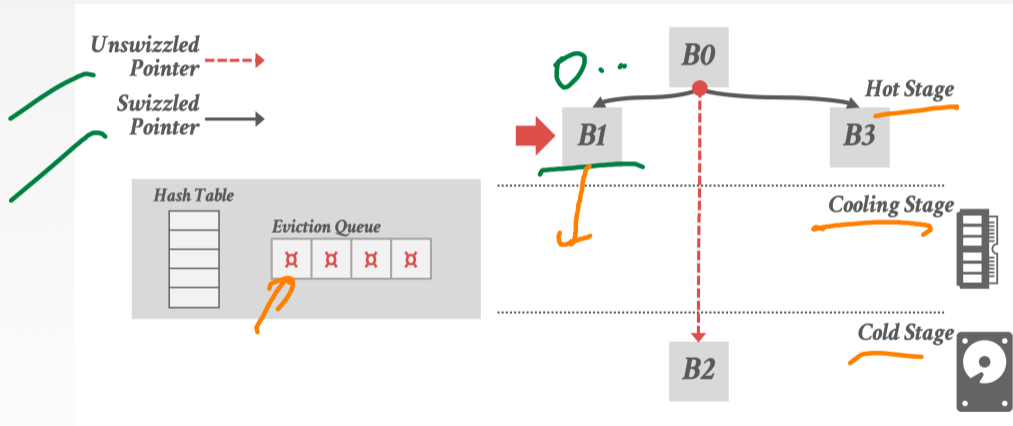
- Randomly select blocks for eviction.
  - ▶ Don't have to maintain meta-data every time a txn accesses a hot block.
  - ▶ Only track accesses for cold data, which should be rare if it is cold.
- Unswizzle their pointer but leave in memory.
  - ▶ Add to a FIFO queue of blocks staged for eviction.
  - ▶ If page is accessed again, remove from queue.
  - ▶ Otherwise, evict pages when reaching front of queue.

# Block Hierarchy

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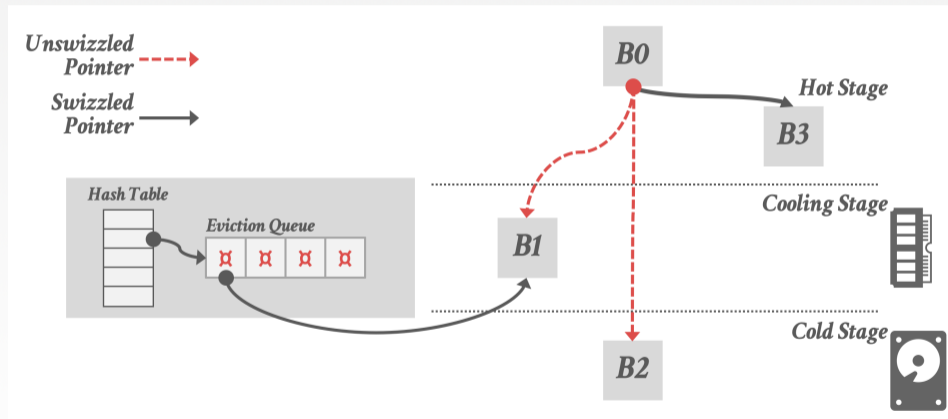
- Blocks are organized in a tree hierarchy.
  - ▶ Each page has only one parent, which means that there is only a single pointer.
  - ▶ No centralized page table (as is the case in a disk-oriented DBMS).
- The DBMS can only evict a block if its children are also evicted.
  - ▶ This avoids the problem of evicting blocks that contain swizzled pointers
  - ▶ Otherwise, these pointers are invalid because they will point to old locations in memory.
  - ▶ If a block is selected but it has in-memory children, then it automatically switches to select one of its children.

# Block Hierarchy





# Block Hierarchy



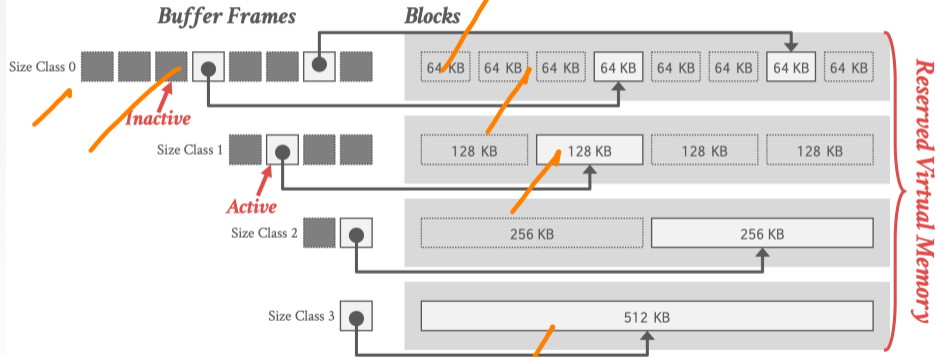
# Umbra

*Demo*

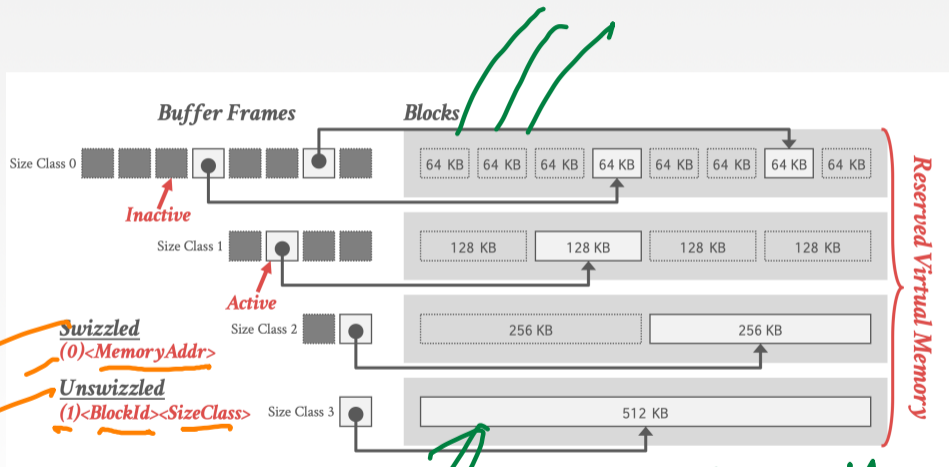
- New DBMS from HyPer team at TUM.
  - ▶ Low overhead buffer pool with variable-sized pages.
  - ▶ Employs the same hierarchical organization and randomized block eviction algorithm from LeanStore.
  - ▶ Uses virtual memory to allocate storage but the DBMS manages block eviction on its own.
- DBMS stores relations as index-organized tables, so there is no separate management needed to handle index blocks.
- Reference



# Variable-Sized Buffer Pool



# Variable-Sized Buffer Pool



# MEMSQL – Columnar Tables

- Administrator manually declares a table as a disk-resident columnar table with zone maps.
  - ▶ Pre-2017: Used mmap but this was a bad idea.
  - ▶ Current: Unified single logical table format that combines mutable delta store with immutable column store.
- Evicted Tuple Metadata: None
- Retrieval Mechanism: Synchronous Retrieval
- Merging Threshold: Always Merge
- Reference

Columnar Store

row store

# Conclusion

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- Today we focused on working around the block-oriented access granularity and lower bandwidth of secondary storage.
- We will learn about how recently-released byte-addressable, non-volatile memory (2019) changes the hardware landscape.