

## DATA ANALYTICS USING DEEP LEARNING GT 8803 // Fall 2019 // Joy Arulraj

LECTURE #15:RECURRENT NEURAL NETWORKS

CREATING THE NEXT®

### ADMINISTRIVIA

- Reminders
  - Signup for a weekly project discussion slot
  - Project progress updates due on next Monday
  - Assignment 2 due on next Wednesday



### LAST TIME: CNN ARCHITECTURES











GoogLeNet







#### LAST TIME: COMPARING COMPLEXITY



An Analysis of Deep Neural Network Models for Practical Applications, 2017.



### EFFICIENT NETWORKS... MOBILENETS: EFFICIENT CONVOLUTIONAL NEURAL NETWORKS FOR MOBILE APPLICATIONS

[Howard et al. 2017]

- Depthwise separable convolutions replace standard convolutions by factorizing them into a depthwise convolution and a 1x1 convolution that is much more efficient
- Much more efficient, with little loss in accuracy
- Follow-up MobileNetV2 work in 2018 (Sandler et al.)
- Other works in this space e.g. ShuffleNet (Zhang et al. 2017)





#### META-LEARNING: LEARNING TO LEARN NETWORK ARCHITECTURES... NEURAL ARCHITECTURE SEARCH WITH REINFORCEMENT LEARNING (NAS) [Zoph et al. 2016]

- "Controller" network that learns to design a good network architecture (output a string corresponding to network design)
- Iterate:
  - Sample an architecture from search space
  - Train the architecture to get a "reward" R corresponding to accuracy
  - Compute gradient of sample probability, and scale by R to perform controller parameter update (i.e. increase likelihood of good architecture being sampled, decrease likelihood of bad architecture)





#### META-LEARNING: LEARNING TO LEARN NETWORK ARCHITECTURES... LEARNING TRANSFERABLE ARCHITECTURES FOR SCALABLE IMAGE RECOGNITION [Zoph et al. 2017]

- Applying neural architecture search (NAS) to a large dataset like ImageNet is expensive
- Design a search space of building blocks ("cells") that can be flexibly stacked
- NASNet: Use NAS to find best cell structure on smaller CIFAR-10 dataset, then transfer architecture to ImageNet
- Many follow-up works in this space e.g. AmoebaNet (Real et al. 2019) and ENAS (Pham, Guan et al. 2018)







### TODAY'S AGENDA

- Recurrent Neural Networks
- Case Studies
  - Language Modeling
  - Image Captioning
- Gradient Flow
- Long Short Term Memory (LSTM)





# RNN



#### "VANILLA" NEURAL NETWORK







#### e.g. Image Captioning

image -> sequence of words





### e.g. Sentiment Classification

sequence of words -> sentiment





e.g. **Machine Translation** seq of words -> seq of words





#### e.g. Video classification on frame level



#### SEQUENTIAL PROCESSING OF NON-SEQUENCE DATA

## Classify images by taking a series of "glimpses"

230	29	1	(	1	ļ	8
332	86	9	6	5	1	3
8 8 1	8 1	6	9	¥	3	4
102	76	Õ	9	E	4	5
7/4	44	4	4	ų	7	9
318	93	4	2	4	2	3
661	63	- An	Z	3	9	0
810	5 7	5	1	8	3	4
991	13	0	5	9	5	4
118	69	8	3	2	i i	2

Ba, Mnih, and Kavukcuoglu, "Multiple Object Recognition with Visual Attention", ICLR 2015. Gregor et al, "DRAW: A Recurrent Neural Network For Image Generation", ICML 2015 Figure copyright Karol Gregor, Ivo Danihelka, Alex Graves, Danilo Jimenez Rezende, and Daan Wierstra, 2015. Reproduced with permission.



#### SEQUENTIAL PROCESSING OF NON-SEQUENCE DATA

Generate images one piece at a time!





Gregor et al, "DRAW: A Recurrent Neural Network For Image Generation", ICML 2015 Figure copyright Karol Gregor, Ivo Danihelka, Alex Graves, Danilo Jimenez Rezende, and Daan Wierstra, 2015. Reproduced with permission.

### **RECURRENT NEURAL NETWORK**





## **RECURRENT NEURAL NETWORK**

We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:





V

### **RECURRENT NEURAL NETWORK**

We can process a sequence of vectors **x** by applying a **recurrence formula** at every time step:

$$h_t = f_W(h_{t-1}, x_t)$$

Notice: the same function and the same set of parameters are used at every time step.



У

**RNN** 

Х

### (SIMPLE) RECURRENT NEURAL NETWORK

The state consists of a single *"hidden"* vector **h**:



Sometimes called a "Vanilla RNN" or an "Elman RNN" after Prof. Jeffrey Elman















Re-use the same weight matrix at every time-step





#### RNN: COMPUTATIONAL GRAPH: MANY TO MANY





#### RNN: COMPUTATIONAL GRAPH: MANY TO MANY









#### RNN: COMPUTATIONAL GRAPH: MANY TO ONE





#### RNN: COMPUTATIONAL GRAPH: ONE TO MANY





#### SEQUENCE TO SEQUENCE: MANY-TO-ONE + ONE-TO-MANY

Many to one: Encode input sequence in a single vector



Sutskever et al, "Sequence to Sequence Learning with Neural Networks", NIPS 2014



#### SEQUENCE TO SEQUENCE: MANY-TO-ONE + ONE-TO-MANY

**One to many**: Produce output sequence from single input vector



Sutskever et al, "Sequence to Sequence Learning with Neural Networks", NIPS 2014





# LANGUAGE MODELING



- EXAMPLE: CHARACTER-Level Language Model
- Vocabulary: [h,e,l,o]
- Example training sequence: **"hello"**





EXAMPLE: CHARACTER-Level Language Model

$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

Vocabulary: [h,e,l,o]

Example training sequence: **"hello"** 







**EXAMPLE: CHARACTER-**

LEVEL

sequence: **"hello"** 


- EXAMPLE: CHARACTER-Level Language Model
- Vocabulary: [h,e,l,o]
- At test-time sample characters one at a time, feed back to model





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Vocabulary: [h,e,l,o]

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# **TRUNCATED** BACKPROPAGATION THROUGH TIME



Run forward and backward through chunks of the sequence instead of whole sequence



# **TRUNCATED** BACKPROPAGATION THROUGH TIME



Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps



## **TRUNCATED** BACKPROPAGATION THROUGH TIME





### min-char-rnn.py gist: 112 lines of Python

```
Minimal character-level Vanilla RNN model. Written by Andrej Karpathy (@karpathy)
    BSD License
    .....
    import numpy as np
 7 # data T/0
8 data = open('input.txt', 'r').read() # should be simple plain text file
9 chars = list(set(data))
10 data_size, vocab_size = len(data), len(chars)
11 print 'data has %d characters, %d unique.' % (data_size, vocab_size)
12 char_to_ix = { ch:i for i, ch in enumerate(chars) }
13 ix_to_char = { i:ch for i,ch in enumerate(chars) }
15 # hyperparameters
16 hidden_size = 100 # size of hidden layer of neurons
17 seq_length = 25 # number of steps to unroll the RNN for
18 learning_rate = 1e-1
20 # model parameters
21 Wxh = np.random.randn(hidden_size, vocab_size)*0.01 # input to hidden
22 Whh = np.random.randn(hidden size, hidden size)*0.01 # hidden to hidden
23 Why = np.random.randn(vocab_size, hidden_size)*0.01 # hidden to output
24 bh = np.zeros((hidden_size, 1)) # hidden bias
25 by = np.zeros((vocab_size, 1)) # output bias
27 def lossFun(inputs, targets, hprev):
28
     inputs, targets are both list of integers.
29
      hprev is Hx1 array of initial hidden state
      returns the loss, gradients on model parameters, and last hidden state
      .....
      xs, hs, ys, ps = {}, {}, {}, {}
     hs[-1] = np.copy(hprev)
     loss = 0
     # forward pass
36
      for t in xrange(len(inputs)):
38
        xs[t] = np.zeros((vocab_size, 1)) # encode in 1-of-k representation
39
        xs[t][inputs[t]] = 1
        hs[t] = np.tanh(np.dot(Wxh, xs[t]) + np.dot(Whh, hs[t-1]) + bh) # hidden state
40
        ys[t] = np.dot(Why, hs[t]) + by # unnormalized log probabilities for next chars
41
        ps[t] = np.exp(ys[t]) / np.sum(np.exp(ys[t])) # probabilities for next chars
42
        loss += -np.log(ps[t][targets[t],0]) # softmax (cross-entropy loss)
    # backward pass: compute gradients going backwards
      dwxh, dwhh, dwhy = np.zeros_like(wxh), np.zeros_like(whh), np.zeros_like(why)
45
      dbh, dby = np.zeros_like(bh), np.zeros_like(by)
46
47
      dhnext = np.zeros_like(hs[0])
48
      for t in reversed(xrange(len(inputs))):
        dy = np.copy(ps[t])
49
        dy[targets[t]] -= 1 # backprop into y
        dWhy += np.dot(dy, hs[t].T)
        dby += dy
       dh = np.dot(Why.T, dy) + dhnext # backprop into h
       dhraw = (1 - hs[t] * hs[t]) * dh # backprop through tanh nonlinearity
        dbh += dhraw
        dWxh += np.dot(dhraw, xs[t].T)
56
        dWhh += np.dot(dhraw, hs[t-1].T)
       dhnext = np.dot(Whh.T, dhraw)
58
      for dparam in [dWxh, dWhh, dWhy, dbh, dby]:
```

np.clip(dparam, -5, 5, out=dparam) # clip to mitigate exploding gradients

return loss, dWxh, dWhh, dWhy, dbh, dby, hs[len(inputs)-1]

```
63 def sample(h, seed_ix, n):
 64
       sample a sequence of integers from the model
 66
       h is memory state, seed_ix is seed letter for first time step
       11.11.11
 68 x = np.zeros((vocab_size, 1))
 69 x[seed_ix] = 1
 70 ixes = []
71 for t in xrange(n):
        h = np.tanh(np.dot(Wxh, x) + np.dot(Whh, h) + bh)
        y = np.dot(Why, h) + by
        p = np.exp(y) / np.sum(np.exp(y))
        ix = np.random.choice(range(vocab_size), p=p.ravel())
        x = np.zeros((vocab_size, 1))
         x[ix] = 1
         ixes.append(ix)
 78
 79
      return ixes
 80
 81 n, p = 0, 0
 82 mWxh, mWhh, mWhy = np.zeros_like(Wxh), np.zeros_like(Whh), np.zeros_like(Why)
 83 mbh, mby = np.zeros_like(bh), np.zeros_like(by) # memory variables for Adagrad
 84 smooth_loss = -np.log(1.0/vocab_size)*seq_length # loss at iteration 0
 85 while True:
 86 # prepare inputs (we're sweeping from left to right in steps seq_length long)
       if p+seq_length+1 >= len(data) or n == 0:
        hprev = np.zeros((hidden size,1)) # reset RNN memory
        p = 0 # go from start of data
       inputs = [char_to_ix[ch] for ch in data[p:p+seq_length]]
 90
       targets = [char_to_ix[ch] for ch in data[p+1:p+seq_length+1]]
 91
      # sample from the model now and then
 94 if n % 100 == 0:
 95
         sample_ix = sample(hprev, inputs[0], 200)
 96
         txt = ''.join(ix_to_char[ix] for ix in sample_ix)
         print '----\n %s \n----' % (txt, )
 97
 98
       # forward seq_length characters through the net and fetch gradient
 99
       loss, dWxh, dWhh, dWhy, dbh, dby, hprev = lossFun(inputs, targets, hprev)
       smooth_loss = smooth_loss * 0.999 + loss * 0.001
       if n % 100 == 0: print 'iter %d, loss: %f' % (n, smooth_loss) # print progress
       # perform parameter update with Adagrad
       for param, dparam, mem in zip([Wxh, Whh, Why, bh, by],
                                    [dwxh, dwhh, dwhy, dbh, dby],
106
                                    [mWxh, mWhh, mWhy, mbh, mby]):
          mem += dparam * dparam
         param += -learning_rate * dparam / np.sqrt(mem + 1e-8) # adagrad update
       p += seq_length # move data pointer
112 n += 1 # iteration counter
```



### (https://gist.github.com/karpathy/d4dee566867f8291f086)

## THE SONNETS

### by William Shakespeare

From fairest creatures we desire increase, That thereby beauty's rose might never die, But as the riper should by time decease, His tender heir might bear his memory: But thou, contracted to thine own bright eyes, Feed'st thy light's flame with self-substantial fuel, Making a famine where abundance lies, Thyself thy foe, to thy sweet self too cruel: Thou that art now the world's fresh ornament, And only herald to the gaudy spring, Within thine own bud buriest thy content, And tender churl mak'st waste in niggarding: Pity the world, or else this glutton be, To eat the world's due, by the grave and thee.

When forty winters shall besiege thy brow, And dig deep trenches in thy beauty's field, Thy youth's proud livery so gazed on now, Will be a tatter'd weed of small worth held: Then being asked, where all thy beauty lies, Where all the treasure of thy lusty days; To say, within thine own deep sunken eyes, Were an all-eating shame, and thriftless praise. How much more praise deserv'd thy beauty's use, If thou couldst answer 'This fair child of mine Shall sum my count, and make my old excuse,' Proving his beauty by succession thine! This were to be new made when thou art old, And see thy blood warm when thou feel'st it cold.







Pierre aking his soul came to the packs and drove up his father-in-law women.



#### PANDARUS:

Alas, I think he shall be come approached and the day When little srain would be attain'd into being never fed, And who is but a chain and subjects of his death, I should not sleep.

#### Second Senator:

They are away this miseries, produced upon my soul, Breaking and strongly should be buried, when I perish The earth and thoughts of many states.

#### DUKE VINCENTIO:

Well, your wit is in the care of side and that.

#### Second Lord:

They would be ruled after this chamber, and my fair nues begun out of the fact, to be conveyed, Whose noble souls I'll have the heart of the wars.

#### Clown:

Come, sir, I will make did behold your worship.

#### VIOLA:

I'll drink it.

#### VIOLA:

Why, Salisbury must find his flesh and thought That which I am not aps, not a man and in fire, To show the reining of the raven and the wars To grace my hand reproach within, and not a fair are hand, That Caesar and my goodly father's world; When I was heaven of presence and our fleets, We spare with hours, but cut thy council I am great, Murdered and by thy master's ready there My power to give thee but so much as hell: Some service in the noble bondman here, Would show him to her wine.

#### KING LEAR:

O, if you were a feeble sight, the courtesy of your law, Your sight and several breath, will wear the gods With his heads, and my hands are wonder'd at the deeds, So drop upon your lordship's head, and your opinion Shall be against your honour.



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### Latex source

http://stacks.math.columbia.edu/

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For  $\bigoplus_{n=1,\dots,m}$  where  $\mathcal{L}_{m_{\bullet}} = 0$ , hence we can find a closed subset  $\mathcal{H}$  in  $\mathcal{H}$  and any sets  $\mathcal{F}$  on X, U is a closed immersion of S, then  $U \to T$  is a separated algebraic space.

Proof. Proof of (1). It also start we get

 $S = \operatorname{Spec}(R) = U \times_X U \times_X U$ 

and the comparicoly in the fibre product covering we have to prove the lemma generated by  $\coprod Z \times_U U \to V$ . Consider the maps M along the set of points  $Sch_{fppf}$  and  $U \to U$  is the fibre category of S in U in Section, ?? and the fact that any U affine, see Morphisms, Lemma ??. Hence we obtain a scheme S and any open subset  $W \subset U$  in Sh(G) such that  $Spec(R') \to S$  is smooth or an

 $U = \bigcup U_i \times_{S_i} U_i$ 

which has a nonzero morphism we may assume that  $f_i$  is of finite presentation over S. We claim that  $\mathcal{O}_{X,x}$  is a scheme where  $x, x', s'' \in S'$  such that  $\mathcal{O}_{X,x'} \to \mathcal{O}'_{X',x'}$  is separated. By Algebra, Lemma ?? we can define a map of complexes  $\operatorname{GL}_{S'}(x'/S'')$  and we win.

To prove study we see that  $\mathcal{F}|_U$  is a covering of  $\mathcal{X}'$ , and  $\mathcal{T}_i$  is an object of  $\mathcal{F}_{X/S}$  for i > 0 and  $\mathcal{F}_p$  exists and let  $\mathcal{F}_i$  be a presheaf of  $\mathcal{O}_X$ -modules on  $\mathcal{C}$  as a  $\mathcal{F}$ -module. In particular  $\mathcal{F} = U/\mathcal{F}$  we have to show that

$$\widetilde{M}^{\bullet} = \mathcal{I}^{\bullet} \otimes_{\mathrm{Spec}(k)} \mathcal{O}_{S,s} - i_X^{-1} \mathcal{F})$$

is a unique morphism of algebraic stacks. Note that

Arrows =  $(Sch/S)_{fppf}^{opp}, (Sch/S)_{fppf}$ 

and

 $V = \Gamma(S, \mathcal{O}) \longmapsto (U, \operatorname{Spec}(A))$ 

is an open subset of X. Thus U is affine. This is a continuous map of X is the inverse, the groupoid scheme S.

Proof. See discussion of sheaves of sets.

The result for prove any open covering follows from the less of Example ??. It may replace S by  $X_{spaces,\acute{e}tale}$  which gives an open subspace of X and T equal to  $S_{Zar}$ , see Descent, Lemma ??. Namely, by Lemma ?? we see that R is geometrically regular over S.

Lemma 0.1. Assume (3) and (3) by the construction in the description.

Suppose  $X = \lim |X|$  (by the formal open covering X and a single map  $\underline{Proj}_X(\mathcal{A}) = \operatorname{Spec}(B)$  over U compatible with the complex

 $Set(\mathcal{A}) = \Gamma(X, \mathcal{O}_{X, \mathcal{O}_X}).$ 

When in this case of to show that  $Q \to C_{Z/X}$  is stable under the following result in the second conditions of (1), and (3). This finishes the proof. By Definition ?? (without element is when the closed subschemes are catenary. If T is surjective we may assume that T is connected with residue fields of S. Moreover there exists a closed subspace  $Z \subset X$  of X where U in X' is proper (some defining as a closed subset of the uniqueness it suffices to check the fact that the following theorem

(1) f is locally of finite type. Since S = Spec(R) and Y = Spec(R).

*Proof.* This is form all sheaves of sheaves on X. But given a scheme U and a surjective étale morphism  $U \to X$ . Let  $U \cap U = \coprod_{i=1,\dots,n} U_i$  be the scheme X over S at the schemes  $X_i \to X$  and  $U = \lim_i X_i$ .

The following lemma surjective restrocomposes of this implies that  $\mathcal{F}_{x_0} = \mathcal{F}_{x_0} = \mathcal{F}_{\mathcal{X},...,0}$ .

**Lemma 0.2.** Let X be a locally Noetherian scheme over S,  $E = \mathcal{F}_{X/S}$ . Set  $\mathcal{I} = \mathcal{J}_1 \subset \mathcal{I}'_n$ . Since  $\mathcal{I}^n \subset \mathcal{I}^n$  are nonzero over  $i_0 \leq \mathfrak{p}$  is a subset of  $\mathcal{J}_{n,0} \circ \overline{A}_2$  works.

**Lemma 0.3.** In Situation ??. Hence we may assume q' = 0.

where K is an F-algebra where  $\delta_{n+1}$  is a scheme over S.

*Proof.* We will use the property we see that **p** is the mext functor (??). On the other hand, by Lemma ?? we see that

 $D(\mathcal{O}_{X'}) = \mathcal{O}_X(D)$ 

Proof. Omitted.

**Lemma 0.1.** Let C be a set of the construction.

Let C be a gerber covering. Let F be a guasi-coherent sheaves of O-modules. We have to show that

$$\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{L})$$

*Proof.* This is an algebraic space with the composition of sheaves  $\mathcal{F}$  on  $X_{\acute{e}tale}$  we have

$$\mathcal{O}_X(\mathcal{F}) = \{morph_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F})\}\$$

where  $\mathcal{G}$  defines an isomorphism  $\mathcal{F} \to \mathcal{F}$  of  $\mathcal{O}$ -modules.

**Lemma 0.2.** This is an integer Z is injective.

Proof. See Spaces, Lemma ??.

**Lemma 0.3.** Let S be a scheme. Let X be a scheme and X is an affine open covering. Let  $\mathcal{U} \subset \mathcal{X}$  be a canonical and locally of finite type. Let X be a scheme. Let X be a scheme which is equal to the formal complex.

The following to the construction of the lemma follows.

Let X be a scheme. Let X be a scheme covering. Let

 $b: X \to Y' \to Y \to Y \to Y' \times Y \to X.$ 

be a morphism of algebraic spaces over S and Y.

*Proof.* Let X be a nonzero scheme of X. Let X be an algebraic space. Let  $\mathcal{F}$  be a quasi-coherent sheaf of  $\mathcal{O}_X$ -modules. The following are equivalent

(1)  $\mathcal{F}$  is an algebraic space over S.

(2) If X is an affine open covering.

Consider a common structure on X and X the functor  $\mathcal{O}_X(U)$  which is locally of finite type.





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arch	Merge branch 'x86-urgent-for-linu	s' of git://git.kernel.org/pub/scn	n/l a da	a day ago Graphs	
ill block	block: discard bdi_unregister() in favour of bdi_destroy()		9 day	9 days ago	
in crypto	Merge git://git.kernel.org/pub/scm	/linux/kernel/git/herbert/crypto-	2.6 10 day	s ago HTTPS clone URL	
drivers	Merge branch 'drm-fixes' of git://p	eople.freedesktop.org/~airlied/	linux 9 hour	s ago https://github.c	
iii firmware	firmware/lhex2fw.c: restore missing default in switch statement			s ago You can clone with HTTP	
in fs	vfs: read file_handle only once in	handle_to_path	4 day	days ago SSH, or Subversion. 3	
include	Merge branch 'perf-urgent-for-linu	s' of git://git.kernel.org/pub/scr	n/ a.da	y ago Clone in Desktop	
init .	init: fix regression by supporting d	evices with major:minor:offset	fo a month	h ago	
in inc	Morno brooch "for linus" of cit-//nit	komol ora/pub/som/linuw/komo	a monti	•	



```
static void do_command(struct seq file *m, void *v)
{
 int column = 32 << (cmd[2] & 0x80);</pre>
 if (state)
    cmd = (int)(int_state ^ (in_8(&ch->ch_flags) & Cmd) ? 2 : 1);
 else
    seq = 1;
 for (i = 0; i < 16; i++) {
    if (k & (1 << 1))
      pipe = (in use & UMXTHREAD UNCCA) +
        ((count & 0x0000000fffffff8) & 0x000000f) << 8;
    if (count == 0)
      sub(pid, ppc md.kexec handle, 0x2000000);
    pipe set bytes(i, 0);
  }
  /* Free our user pages pointer to place camera if all dash */
  subsystem info = &of changes[PAGE SIZE];
 rek controls(offset, idx, &soffset);
  /* Now we want to deliberately put it to device */
 control check polarity(&context, val, 0);
  for (i = 0; i < COUNTER; i++)</pre>
    seq puts(s, "policy ");
```

# Generated C code



}

```
/*
   Copyright (c) 2006-2010, Intel Mobile Communications. All rights reserved.
    This program is free software; you can redistribute it and/or modify it
 * under the terms of the GNU General Public License version 2 as published by
 * the Free Software Foundation.
          This program is distributed in the hope that it will be useful,
 * but WITHOUT ANY WARRANTY; without even the implied warranty of
     MERCHANTABILITY or FITNESS FOR A PARTICULAR PURPOSE. See the
   GNU General Public License for more details.
    You should have received a copy of the GNU General Public License
     along with this program; if not, write to the Free Software Foundation,
 * Inc., 675 Mass Ave, Cambridge, MA 02139, USA.
 */
#include <linux/kexec.h>
#include <linux/errno.h>
#include <linux/io.h>
#include <linux/platform device.h>
#include <linux/multi.h>
#include <linux/ckevent.h>
#include <asm/io.h>
#include <asm/prom.h>
#include <asm/e820.h>
#include <asm/system_info.h>
#include <asm/setew.h>
```

```
Georgia
Tech
```

#include <asm/pgproto.h>



Karpathy, Johnson, and Fei-Fei: Visualizing and Understanding Recurrent Networks, ICLR Workshop 2016





Karpathy, Johnson, and Fei-Fei: Visualizing and Understanding Recurrent Networks, ICLR Workshop 2016





## quote detection cell

Karpathy, Johnson, and Fei-Fei: Visualizing and Understanding Recurrent Networks, ICLR Workshop 2016



Cell sensitive to position in line:

The sole importance of the crossing of the Ber that it plainly and indubitably proved the fallacy of all the plans for cutting off the enemy's retreat and the soundness of the only possible line of action--the one Kutuzov and the general mass of demanded -- namely, simply to follow the enemy up. The French crowd fled a continually increasing speed and all its energy directed to was reaching its goal. It fled like a wounded animal and it impossible was block its path. This was shown not so much by the arrangements for crossing as by what took place at the bridges. When the bridges broke down, unarmed soldiers, people from Moscow and women with children were with the French transport, all--carried on by vis inertiae-pressed forward into boats and into the ice-covered water and did not, surrender.

## line length tracking cell

Karpathy, Johnson, and Fei-Fei: Visualizing and Understanding Recurrent Networks, ICLR Workshop 2016





## if statement cell

Karpathy, Johnson, and Fei-Fei: Visualizing and Understanding Recurrent Networks, ICLR Workshop 2016



Cell that turns on inside comments and quotes: information. The opaque, so 1 e l d audit\_dupe\_lsm\_field(struct ne audit field df. audit\_field \*sf) struct sf->lsm\_str, GFP\_KERNEL); sti COD df->lsm\_str, (df->type, df - > op. in case they around policy reload invalid\n", sm str quote/comment cell eturn ret;

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## code depth cell

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# IMAGE CAPTIONING



# **IMAGE CAPTIONING**



Explain Images with Multimodal Recurrent Neural Networks, Mao et al.

Deep Visual-Semantic Alignments for Generating Image Descriptions, Karpathy and Fei-Fei

Show and Tell: A Neural Image Caption Generator, Vinyals et al.

Long-term Recurrent Convolutional Networks for Visual Recognition and Description, Donahue et al. Learning a Recurrent Visual Representation for Image Caption Generation, Chen and Zitnick



# **Recurrent Neural Network**



# **Convolutional Neural Network**



### test image











![](_page_68_Figure_0.jpeg)

### test image

before: h = tanh(Wxh \* x + Whh \* h)

### now:

h = tanh(Wxh \* x + Whh \* h + Wih \* v)

![](_page_69_Figure_0.jpeg)

test image

![](_page_70_Figure_0.jpeg)

### 

![](_page_71_Figure_0.jpeg)


#### test image



### IMAGE CAPTIONING: EXAMPLE RESULTS



A cat sitting on a suitcase

on the floor



A cat is sitting on a tree branch



A dog is running in the grass with a frisbee



A white teddy bear sitting in the grass



Two people walking on the beach with surfboards



A tennis player in action on the court



Two giraffes standing in a grassy field



A man riding a dirt bike on a dirt track





### IMAGE CAPTIONING: FAILURE CASES



A woman is holding a cat in her hand



A person holding a computer mouse on a desk



A woman standing on a beach holding a surfboard



A bird is perched on a tree branch



A man in a baseball uniform throwing a ball



RNN focuses its attention at a different spatial location when generating each word



Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015 Figure copyright Kelvin Xu, Jimmy Lei Ba, Jamie Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard S. Zemel, and Yoshua Benchio, 2015. Reproduced with permission.





Xu et al, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015





Xu et al, "Show, Attend and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015















Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015 Figure copyright Kelvin Xu, Jimmy Lei Ba, Jamie Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard S. Zemel, and Yoshua Benchio, 2015. Reproduced with permission.





A woman is throwing a <u>frisbee</u> in a park.



A  $\underline{dog}$  is standing on a hardwood floor.



A <u>stop</u> sign is on a road with a mountain in the background.



A little <u>girl</u> sitting on a bed with a teddy bear.



A group of <u>people</u> sitting on a boat in the water.



A giraffe standing in a forest with trees in the background.

Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015 Figure copyright Kelvin Xu, Jimmy Lei Ba, Jamie Kiros, Kyunghyun Cho, Aaron Courville, Ruslan Salakhutdinov, Richard S. Zemel, and Yoshua Benchio, 2015. Reproduced with permission.



#### **VISUAL QUESTION ANSWERING**



- Q: What endangered animal is featured on the truck?
- A: A bald eagle.
- A: A sparrow.
- A: A humming bird.
- A: A raven.

- Q: Where will the driver go
  - if turning right?
- A: Onto 24 ¾ Rd.
- A: Onto 25 <sup>3</sup>/<sub>4</sub> Rd. A: Onto 23 <sup>3</sup>/<sub>4</sub> Rd.
- A. Onto Zo 74 Ru.
- A: Onto Main Street.



- Q: When was the picture taken?
- A: During a wedding.
- A: During a bar mitzvah.
- A: During a funeral.

service

A: During a Sunday church



- Q: Who is under the umbrella?
- A: Two women.
- A: A child.
- A: An old man.
- A: A husband and a wife.

Agrawal et al, "VQA: Visual Question Answering", ICCV 2015 Zhu et al, "Visual 7W: Grounded Question Answering in Images", CVPR 2016 Figure from Zhu et al, copyright IEEE 2016. Reproduced for educational purposes.



#### **VISUAL QUESTION ANSWERING: RNNS WITH ATTENTION**



Zhu et al, "Visual 7W: Grounded Question Answering in Images", CVPR 2016 Figures from Zhu et al, copyright IEEE 2016. Reproduced for educational purposes.



What kind of animal is in the photo? A **cat**.



Why is the person holding a knife? To cut the **cake** with.



#### Multilayer RNNs

$$\begin{aligned} h^l_t &= \tanh W^l \begin{pmatrix} h^{l-1}_t \\ h^l_{t-1} \end{pmatrix} \\ h \in \mathbb{R}^n \quad W^l \ [n \times 2n] \end{aligned}$$

LSTM:









# LSTM



Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



$$h_{t} = \tanh(W_{hh}h_{t-1} + W_{xh}x_{t})$$
$$= \tanh\left(\begin{pmatrix}W_{hh} & W_{hx}\end{pmatrix}\begin{pmatrix}h_{t-1}\\x_{t}\end{pmatrix}\end{pmatrix}\right)$$
$$= \tanh\left(W\begin{pmatrix}h_{t-1}\\x_{t}\end{pmatrix}\right)$$



Backpropagation from  $h_t$  to  $h_{t-1}$ multiplies by W (actually  $W_{hh}^{T}$ )



Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013

$$h_{t} = \tanh(W_{hh}h_{t-1} + W_{xh}x_{t})$$
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$$= \tanh\left(W\begin{pmatrix}h_{t-1}\\x_{t}\end{pmatrix}\right)$$



Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



Computing gradient of h<sub>0</sub> involves many factors of W (and repeated tanh)



Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



Computing gradient of h<sub>0</sub> involves many factors of W (and repeated tanh)

#### Largest singular value > 1: Exploding gradients

Largest singular value < 1: Vanishing gradients



Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013





Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



Computing gradient of<br/>h₀ involves many<br/>factors of W<br/>(and repeated tanh)Largest singular value > 1:<br/>Exploding gradientsLargest singular value < 1:<br/>Vanishing gradients→ Change RNN architecture

#### LONG SHORT TERM MEMORY (LSTM)

Vanilla RNN

$$h_t = \tanh\left(W\begin{pmatrix}h_{t-1}\\x_t\end{pmatrix}\right)$$

$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$
$$c_t = f \odot c_{t-1} + i \odot g$$
$$h_t = o \odot \tanh(c_t)$$

Hochreiter and Schmidhuber, "Long Short Term Memory", Neural Computation 1997



#### LONG SHORT TERM MEMORY (LSTM) [Hochreiter et al., 1997]

vector from

i: Input gate, whether to write to cell
f: Forget gate, whether to erase cell
o: Output gate, how much to reveal cell
g: Gate gate (?), how much to write to cell





#### LONG SHORT TERM MEMORY (LSTM) [Hochreiter et al., 1997]









### Uninterrupted gradient flow!





## Uninterrupted gradient flow!



Similar to ResNet!





# Uninterrupted gradient flow!





In between: Highway Networks

 $g = T(x, W_T)$ 

$$y = g \odot H(x, W_H) + (1 - g) \odot x$$

Srivastava et al, "Highway Networks", ICML DL Workshop 2015



Similar to

**ResNet!** 

#### **OTHER RNN VARIANTS**

**GRU** [*Learning phrase representations using rnn encoder-decoder for statistical machine translation*, Cho et al. 2014]

$$r_t = \sigma(W_{xr}x_t + W_{hr}h_{t-1} + b_r)$$

$$z_t = \sigma(W_{xz}x_t + W_{hz}h_{t-1} + b_z)$$

$$\tilde{h}_t = \tanh(W_{xh}x_t + W_{hh}(r_t \odot h_{t-1}) + b_h)$$

$$h_t = z_t \odot h_{t-1} + (1 - z_t) \odot \tilde{h}_t$$

[*LSTM*: A Search Space Odyssey, Greff et al., 2015]

#### [An Empirical Exploration of Recurrent Network Architectures, MUT1: $z = \operatorname{sigm}(W_{xz}x_t + b_z)$ = sigm $(W_{xr}x_t + W_{hr}h_t + b_r)$ r $h_{t+1} = \tanh(W_{hh}(r \odot h_t) + \tanh(x_t) + b_h) \odot z$ $+ h_t \odot (1-z)$ MUT2: $z = \operatorname{sigm}(W_{xz}x_t + W_{hz}h_t + b_z)$ $r = \operatorname{sigm}(x_t + W_{hr}h_t + b_r)$ $h_{t+1} = \tanh(W_{hh}(r \odot h_t) + W_{xh}x_t + b_h) \odot z$ $+ h_t \odot (1-z)$ MUT3: = sigm $(W_{xz}x_t + W_{hz} \tanh(h_t) + b_z)$ $\operatorname{sigm}(W = \pm W, h, \pm h)$

$$h_{t+1} = \tanh(W_{hh}(r \odot h_t) + W_{xh}x_t + b_h) \odot z + h_t \odot (1-z)$$



#### RECENTLY IN NATURAL LANGUAGE PROCESSING... NEW PARADIGMS FOR REASONING OVER SEQUENCES ["Attention is all you need", Vaswani et al., 2018]

- New "Transformer" architecture no longer processes inputs sequentially; instead it can operate over inputs in a sequence in parallel through an attention mechanism
- Has led to many state-of-the-art results and pretraining in NLP, for more results see e.g.
  - "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", Devlin et al., 2018
  - OpenAI GPT-2, Radford et al., 2018





# SUMMARY: RNNs

- RNNs allow a lot of flexibility in architecture design
  - Vanilla RNNs are simple but don't work very well
  - Common to use LSTM or GRU: their additive interactions improve gradient flow
- Backward flow of gradients in RNN can explode or vanish.
  - Exploding is controlled with gradient clipping.
  - Vanishing is controlled with additive interactions (LSTM)
- Better/simpler architectures are a hot topic of current research, as well as new paradigms for reasoning over sequences
- Better understanding (both theoretical and empirical) is needed.

