

Question 1: Two-Phase Locking [230 points]

- (i) **[10 points] Locks vs. Latches:**
Distinguish between: (1) locks and (2) latches.
- (ii) **[10 points] Locks vs. Latches:**
Why are locks held during the entire duration of a transaction?
- (iii) **[10 points] Lock Types:**
Distinguish between: (1) shared locks and (2) executive locks.
- (iv) **[10 points] Lock Types:**
Explain why executing with locks is not sufficient.
- (v) **[10 points] Two-Phase Locking:**
Explain why the protocol does not need to know all the queries that a txn will execute ahead of time.
- (vi) **[10 points] Two-Phase Locking:**
Explain why the txn is not allowed to acquire/upgrade locks after the growing phase finishes is enough to satisfy the isolation property.
- (vii) **[10 points] Two-Phase Locking:**
Illustrate how 2PL is subject to cascading aborts.
- (viii) **[10 points] Two-Phase Locking:**
Illustrate how 2PL on its own is sufficient to guarantee conflict serializability.
- (ix) **[10 points] Two-Phase Locking:**
Illustrate how 2PL may still lead to deadlocks.
- (x) **[10 points] Two-Phase Locking:**
Illustrate how 2PL may still lead to dirty reads.
- (xi) **[10 points] Two-Phase Locking:**
Explain why 2PL is used in almost all DBMSs.
- (xii) **[10 points] Strong Strict Two-Phase Locking:**
Define a strict schedule.
- (xiii) **[10 points] Strong Strict Two-Phase Locking:**
Explain how strong strict 2PL does not incur cascading aborts.
- (xiv) **[10 points] Deadlocks:**
Distinguish between: (1) deadlock detection and (2) deadlock prevention.
- (xv) **[10 points] Deadlock Prevention:**
Distinguish between: (1) Wait-Die and (2) Wound-Wait.
- (xvi) **[10 points] Deadlock Prevention:**
Why do these schemes guarantee no deadlocks?
- (xvii) **[10 points] Deadlock Prevention:**
When a txn restarts, what is its (new) priority?

- (xviii) **[10 points] Hierarchical Locking:**
Explain the benefits and drawbacks of hierarchical locking.
- (xix) **[10 points] Hierarchical Locking:**
Explain the purpose of intention locks.
- (xx) **[10 points] Hierarchical Locking:**
Illustrate the utility of: (1) IS lock, (2) IX lock, and (3) SIX lock.
- (xxi) **[10 points] Hierarchical Locking:**
Explain the purpose of lock escalation.
- (xxii) **[10 points] Locking in Practice:**
When would an user explicitly lock a table?
- (xxiii) **[10 points] Locking in Practice:**
Explain the purpose of 'select... for update'.