

Knapsack Problem:

Total capacity B

and n objects with:

integer weights w_1, \dots, w_n

& integer values v_1, \dots, v_n

Goal: Find subset S of objects that:

a) fits in the backpack

& b) maximizes the total value

In other words, find $S \subseteq \{1, \dots, n\}$ where:

$$a) \sum_{i \in S} w_i \leq B$$

$$\& b) \text{Maximizes } \sum_{i \in S} v_i$$

Application: scheduling jobs.

versions:

- 1) without repetition: one copy of each object
- 2) with repetition: unlimited supply of each object

First: one copy of each object

What about greedy approach?

Example: 4 objects: 1, 2, 3, 4

Values: 15, 10, 8, 1

Weights: 15, 12, 10, 5

$$B = 22$$

Greedy: sort by $r_i = \frac{v_i}{w_i}$ so $r_1 > r_2 > r_3 > r_4$

greedy solution: objects 1 & 4 for total value = 16

optimal solution: objects 2 & 3 for total value = 18.

Dynamic Programming approach:

(3)

First, define the subproblem

Initial attempt: Prefix

Let $K(j) = \max$ value achievable using a subset of objects $1, \dots, j$

Second step: Express $K(j)$ in terms of $K(1), \dots, K(j-1)$.

Consider $K(j)$: given $K(j-1)$, can we add object j to the optimal for $K(j-1)$?

Need to know how much weight is available.

Want best solution for subset of $1, \dots, j-1$ with weight $\leq B$

& weight $\leq B - w_j$

Next round need $B, B - w_{j-1}, B - w_j, B - w_j - w_{j-1}$

So need to consider all possible weights.

Subproblem definition:

(4)

For b & j where $0 \leq b \leq B$ & $0 \leq j \leq n$,

let $K(b, j) =$ max value achievable using a subset of objects $1, \dots, j$ & total weight $\leq b$

Goal: compute $K(B, n)$

Recurrence relation:

For $K(b, j)$:

either use object j :

then want best solution for subset of $1, \dots, j-1$ with total weight $\leq b - w_j$ (so j fits in)

or don't use object j :

then want best for $1, \dots, j-1$ with total weight $\leq b$.

Therefore,

if $w_j \leq b$,

$$K(b, j) = \max \left\{ v_j + K(b - w_j, j-1), K(b, j-1) \right\}$$

if $w_j > b$,

$$K(b, j) = K(b, j-1)$$

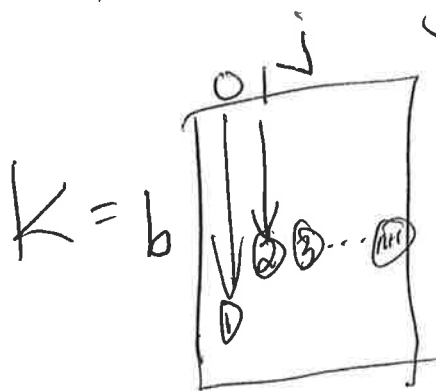
Base cases:

$$K(b, 0) = 0$$

$$K(0, j) = 0$$

Recurrence for $K(b, j)$ uses $K(?, j-1)$

So fill table from $j=0 \rightarrow j=n$.



fill column by column

Knapsack NoRepeat($B, w_1, \dots, w_n, v_1, \dots, v_n$):

For $j=0 \rightarrow n, k(0, j)=0$

For $b=0 \rightarrow B, k(b, 0)=0$

For $j=1 \rightarrow n,$

For $b=1 \rightarrow B,$

if $w_j > b,$

then $k(b, j) = k(b, j-1)$

else $k(b, j) = \max\{v_j + k(b-w_j, j-1), k(b, j-1)\}$

Return($k(B, n)$)

Running time:

For loop of size $O(n)$ & inner loop of size $O(B)$, thus total running time of $O(nB)$.

(7)

Now: unlimited supply of each object

Try subproblem as before:

$k(b, j) = \max$ value achievable using subset of objects $1, \dots, j$ (possibly with repeats) & total weight $\leq b$.

if $w_j \leq b$

either include object j but then need to allow more copies of it so:

$$v_j + k(b - w_j, j) \quad k_j \text{ instead of } j-1$$

or don't include j so: $k(b, j-1)$.

Therefore,

if $w_j \leq b$,

$$k(b, j) = \max\{k(b, j-1), v_j + k(b-w_j, j)\}$$

if $w_j > b$,

$$k(b, j) = k(b, j-1).$$

Knapsack Repeat ($B, w_1, \dots, w_n, v_1, \dots, v_n$):

For $j=0 \rightarrow n, k(0, j) = 0$

For $b=0 \rightarrow B, k(b, 0) = 0$

For $j=1 \rightarrow n$

For $b=1 \rightarrow B$

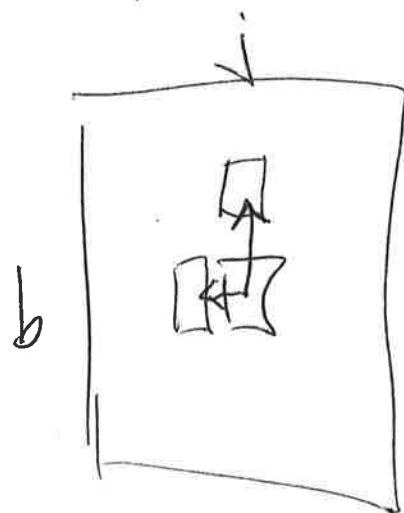
if $w_j > b$

then $k(b, j) = k(b, j-1)$

else $k(b, j) = \max\{v_j + k(b-w_j, j), k(b, j-1)\}$

Return ($k(B, n)$)

Filling the table:



$k(b, j)$ uses ~~$k(b, j)$~~ $k(b - w_j, j)$
 (earlier in same column)

or $k(b, j - 1)$
 (previous column)

Running time: $O(nB)$ as before.

Alternative:

(10)

$K(b) = \max$ value achievable using total weight $\leq b$
& all objects $1, \dots, n$ allowed.

Recurrence:

Try all possibilities for last object l
need that $w_l \leq b$

Hence:

$$K(b) = \max_l \{ K(b - w_l) + v_l : 1 \leq l \leq n, w_l \leq b \}$$

K is one-dimensional but each entry
takes $O(n)$ time so
 $O(nB)$ total time as before.