

## Lecture 10: Scribe Notes

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Lecturer: Eric Vigoda

Scribes: Alexandre Jouandin, Smriti

**Disclaimer:** These notes have not been subjected to the usual scrutiny reserved for formal publications.

## 10.1 Polynomial identity testing

### 10.1.1 Matrix multiplication

We want to check matrix multiplication. We have  $n \times n$  matrices  $A$ ,  $B$  and  $C$ , and we want to check if  $A \times B = C$ .

**Naive approach:** Compute  $A \times B$  in time of  $\mathcal{O}(n^{2.36\dots})$  (matrix multiplication)

**Randomized approach:** Choose a random vector  $r = \begin{bmatrix} r_1 \\ r_2 \\ \vdots \\ r_n \end{bmatrix}$

where each  $r_i$  is independently and uniformly at random from  $S = \{1, 2, \dots, k\}$

Compute  $(AB)r$  and  $Cr$  and check if both are equal. Time complexity for this method =  $O(n^2)$

**Claim :**  $\Pr_r((AB)r = Cr | AB \neq C) \leq 1/k$

If we run  $t$  trials, we can boost this probability to  $k^{-t}$

**Proof:** Assume  $AB \neq C$ . So  $D = AB - C \neq 0$ .

Assume  $d_{11} \neq 0$  (if it's not, we can relabel rows and columns to make it true)

$$\Pr(D_r) = 0 \leq \Pr((D_r)_1 = 0) \leq \Pr(r_1 = S^*) \leq \frac{1}{k}$$

$$\because (D_r)_1 = \sum_{i=1}^n d_{1i} r_i = 0$$

$$\implies r_1 = \frac{-1}{d_{11}} (d_{12} r_2 + d_{13} r_3 + \dots + d_{1n} r_n) = S^* \quad \blacksquare$$

### 10.1.2 Polynomial Equality Testing

Now, let's consider two polynomials  $P$  &  $Q$  over  $n$  variables  $X_1, \dots, X_n$ . We want to know if  $P = Q$ .

We assume "oracle" access to  $P$  and  $Q$ , i.e., for a given  $X = X_1, \dots, X_n$ , we can evaluate  $P$  and  $Q$  at  $X$  efficiently.

**Proof:** Assume  $R \neq 0$ . Induct on  $n$

Base case:  $n = 1$ ,  $R(x_1)$  univariate polynomial of degree  $\leq d \implies \leq d$  roots.

General: Take  $x_1$  and term of max degree in  $x$ , say  $j$ . Factor out  $x_1^j$

$$R(x_1, \dots, x_n) = x_1^j \underbrace{(M(x_2, \dots, x_n))}_{n-1 \text{ variables}} + \underbrace{N(x_1, \dots, x_n)}_{\text{max. deg. of } x_1 < j}$$

**Algorithm 1:** Schwarz-Zippel algorithm

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1 Consider  $R = P - Q$ . Check if  $R = 0$ ?;
2 Choose  $x_i$  uniformly at random from  $S = \{1, \dots, k\}$ ;
3 if  $R(x_1, \dots, x_n) = 0$  then
4   | output YES;
5 else
6   | output NO;
7  $\Pr(R(x_1, \dots, x_n) = 0 | R \neq 0) \leq \frac{d}{k}$  ( $d = \#$  of roots);
8 if  $k \geq 2d$  then
9   | False positive probability  $\leq \frac{1}{2}$ ;
10  | and with  $t$  trials  $\implies 2^{-t}$ 

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Using Principle of Deferred Decisions, fix  $x_2, \dots, x_n$  and consider  $x_1$ .

Let event  $\xi$  be  $M(x_2, \dots, x_n) = 0$

Now:

$$\Pr(R(x_1, \dots, x_n) = 0) = \Pr(R(x_1, \dots, x_n) = 0 | \xi) \Pr(\xi) + \Pr(R(x_1, \dots, x_n) = 0 | \bar{\xi}) \Pr(\bar{\xi})$$

Taking the bigger value for both, we get:

$$\Pr(R(x_1, \dots, x_n) = 0) = \Pr(\xi) + \Pr(R(x_1, \dots, x_n) | \bar{\xi})$$

Now,

$$\Pr \xi = \Pr(M(x_2, \dots, x_n) = 0) \leq \frac{d-j}{k}$$

where  $d$  = original degree,  $j$  = degree when  $x_1^j$  factored out

Using Principle of Deferred Decisions, plug in  $x_2, \dots, x_n$  in the  $R$  equation.  $R$  remains univariate now with just one unknown  $x_1$ . Thus we can apply base case here.

$$\deg(R(x_1)) \leq j \implies \Pr(R(x_1, \dots, x_n) = 0 | \bar{\xi}) = \Pr(R(x_1) = 0 | x_2, \dots, x_n, \bar{\xi}) \leq \frac{j}{k}$$

Using these values in the original equation,

$$\Pr(R(x_1, \dots, x_n) = 0) \leq \frac{d-j}{k} + \frac{j}{k} = \frac{d}{k}$$

Note: It is not necessary to choose from  $\{1, \dots, k\}$ . It is important to choose from ' $k$ ' different numbers. ■

### 10.1.3 Perfect Matching

Bipartite graph  $G = (L \cup R, E)$ . Does  $G$  have a perfect matching?

For any edge  $(i, j)$  in  $E$ :  $M_G = \begin{cases} x_{ij} & \text{if } (i, j) \in E \\ 0 & \text{otherwise} \end{cases}$ .

**Claim:**  $\det(M) \neq 0 \iff G$  has a perfect matching.

**Proof:** Test if  $\det(M) \neq 0$ : choose  $x_{ij}$  uniformly at random from  $\{1, \dots, 2n\}$

$\Leftarrow$ :  $G$  has a perfect matching  $P$ , every perfect matching  $P$  has a unique term  $\prod_{(i,j) \in P}$   
 $\Rightarrow$ :  $\det(M) \neq 0$

$$\det(M) = \sum_{\sigma \in S_n} \text{sgn}(\sigma) \prod_{i=1}^n M_{i\sigma(i)}$$

where:

$$\begin{aligned} S_n &= \text{permutations of } \{1, \dots, n\} \\ \text{sgn}(\sigma) &= (-1)^{\text{nb. of inversions in } \sigma} \\ &= (-1)^{\text{nb. of even cycles in } \sigma} \\ &= (-1)^{n - \text{nb. of cycles in } \sigma} \end{aligned}$$

Test if  $G$  has a perfect matching?

1. Assume  $G$  has a perfect matching.  $P$  corresponds to a permutation.  
 $\Pi$  gives  $x_{ij}$  (not zero), each edge gives a distinct variable. Every perfect matching has a unique term  $\prod_{(i,j) \in P} x_{ij}$   
 There has to be at least one non-zero term, thus making  $\det(M) \neq 0$ .
2. Assume  $\det(M) \neq 0$ .  
 $\Pi$  gives non-zero terms for each  $(i, j) \in E$ . Because all edges of the perfect matching belong to the graph  $G$ , all edges of the perfect matching exist and  $\Pi$  gives non-zero values for each of those.  
 $\Rightarrow$  There exists a perfect matching.

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**Algorithm 2:** Test if  $G$  has a perfect matching.

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- 1 **for** each edge  $(i, j) \in E$ , choose  $x_{ij}$  u.a.r. from  $\{1, \dots, 2n\}$  **do**
  - 2    **└** Compute  $\det(M)$ :  $\Pr(\det(M) = 0 | G \text{ has a perfect matching}) \leq \frac{1}{2}$ ;
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Run it  $t$  times to boost this probability to  $\leq 2^{-t}$

$G = (\overset{L \cup R}{V}, E)$ , edge  $(i, j) \in E$ .

Induced subgraph on  $V \setminus \{i, j\}$ ,  $M_{ij} = M$  with row  $i$  and column  $j$  removed.

Check if  $\det(M_{ij}) \neq 0$ ? (using the algorithm described above)

Recurse on the smaller graph.

Time complexity :  $O(|E|)$  rounds

**Question:** Can it be done in parallel (check all edges at the same time to see which ones belong to the perfect matching)?

**Problem:** Every edge might be in 'a' perfect matching, but it does not necessarily mean that they belong to the same one.

**Solution:** We can find a unique perfect matching with minimum weight. Check if  $(i, j) \in E$  is in the minimum weight Perfect Matching (check value of the determinant to get the minimum weight P.M.). All determinant evaluations will go towards the same unique perfect matching.

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**Algorithm 3:** Mulmuley, Vazirani, Vazirani, '87
 

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- 1 Let  $S = \{x_1, \dots, x_m\}$ . Subsets  $S_1, \dots, S_k$  of  $S$ ;
  - 2 Randomly assign  $\omega = S \rightarrow \{1, \dots, l\}$ :  $\omega(S_i) = \sum_{x \in S_i} \omega(x)$ ;
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**Lemma 10.1 (Isolation Lemma)** From algorithm 3:

$$\Pr(\text{unique set } S_i \text{ of min. weight}) \geq 1 - \frac{m}{l}$$

where  $S_i$ s are perfect matchings.

**Proof:** We say that  $X \in S$  is tied if  $\underbrace{\min_{X \in S_i} \omega(S_i)}_{\omega^+ + \omega(x)} = \underbrace{\min_{X \notin S_i} \omega(S_i)}_{\omega^-}$

Unique subset  $S_i$  of minimum weight iff no  $X$  is tied.

$$\Pr(X \text{ is tied}) = \Pr(\omega(x) = \omega^- - \omega^+) = \frac{1}{l}.$$

Fix  $\omega(y)$  for all  $y \in S$  such that  $y \neq x$ .

$$\Pr(\text{not unique subset } S_i \text{ of min weight}) = \Pr(\text{some } X \text{ is tied}) = \sum_{Y \in S} \Pr(Y \text{ is tied}) \leq \frac{m}{l}.$$

$$\implies \Pr(\text{unique}) \geq 1 - m/l \quad \blacksquare$$