

## Colorings:

Undirected  $G=(V,E)$

For vertex  $w \in V$ ,  $\deg(w) = \# \text{ of neighbors}$   
 $= |\{ (w,z) \in E \}|$

Maximum degree  $\Delta = \max_{w \in V} \deg(w)$ .

Given  $k$  colors :  $k$  is an integer  $\geq 2$ .

Proper  $k$ -coloring of  $G$  is

assignment  $\sigma: V \rightarrow \{1, 2, \dots, k\}$

where  $\forall (w,z) \in E, \sigma(w) \neq \sigma(z)$ .

(So assign colors to vertices so adjacent vertices get different colors)

When  $k > \Delta$  there is always a proper  $k$ -coloring.

When  $k \leq \Delta$  there are graphs with no proper  $k$ -coloring.

Given  $G$  &  $k$ ,

let  $\Omega =$  all proper  $k$ -colorings of  $G$ .

Goal: compute  $|\Omega|$  via FPRAS  
or sample uniformly at random from  $\Omega$ .

Markov chain: (Glauber dynamics)

From  $X_t \in \Omega$ ,

1. Choose  $v \in V$  u.a.r. &  $c \in \{1, \dots, k\}$  u.a.r.

2. For all  $w \neq v$ , set  $X_{t+1}(w) = X_t(w)$ .

3. Set  $X_{t+1}(v) = \begin{cases} c & \text{if } c \notin X_t(N(v)) \\ X_t(v) & \text{otherwise} \end{cases}$

Aperiodic since  $P(\sigma, \sigma) > 0$ .

Irreducible when  $k \geq \Delta + 2$ .

Idea: Let  $V = \{v_1, \dots, v_n\}$ .

For  $\sigma \rightsquigarrow \tau$ , fix vertices in order  $i=1 \rightarrow n$ :

~~change~~ For  $v_i$ , if some neighbor has color  $\tau(v_i)$ ,  
then recolor it to some available color,  
(at least one since  $k \geq \Delta + 2$ ).

Then set  $v_i$  to color  $\tau(v_i)$  & repeat for  $i+1$ .

When  $k \geq \Delta + 2$ , it's ergodic.

Since it's symmetric, stationary dist.  $\pi$  is uniform ( $|\Sigma|$ ).

Mixing time?

Now: when  $k > 3\Delta$ ,  $T_{\text{mix}} = O(n \log n)$ .

Use identity coupling: For  $(X_+, Y_+)$ :  
Choose same  $v, c$  to update.

$$\text{Let } A_+ = \{v : X_+(v) = Y_+(v)\}$$

$$\& D_+ = \{v : X_+(v) \neq Y_+(v)\}$$

$$\Pr(X_+ \neq Y_+) \leq E[|D_+|].$$

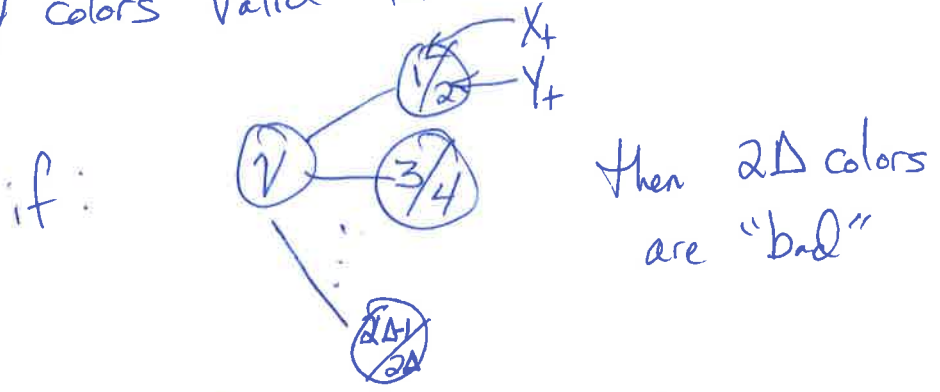
Let's look at  $E[|D_{t+1}|]$  compared to  $|D_t|$ .

$$\text{Let } a_+(v) = A_+ \cap N(v) \& d_+(v) = D_+ \cap N(v).$$

$$\text{Note, } \sum_{v \in A_+} d_+(v) = \sum_{v \in D_+} a_+(v).$$

Suppose  $v \in A_+$ .

How many colors valid for one chain & not other?



In general,  $\leq 2\Delta_+(v)$  colors are bad.

$$\Pr(v \in D_{t+1} \mid v \in A_t) \leq \frac{2\Delta_+(v)}{nk}$$

Suppose  $v \in D_+$ ,

how many colors valid for  $v$  in both chains?

$$\geq k - 2\Delta + a_+(v)$$

$$\Pr(v \in A_{t+1} \mid v \in D_t) \geq \frac{k - 2\Delta + a_+(v)}{nk}$$

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$$\begin{aligned}
E[|D_{t+1}|] &\leq |D_t| + \sum_{v \in A_t} \frac{2d_+(v)}{nk} - \sum_{v \in D_t} \frac{(k - 2\Delta + a_+(v))}{nk} \\
&\leq |D_t| + \sum_{v \in A_t} \frac{2d_+(v)}{nk} - \sum_{v \in D_t} \frac{(k - 3\Delta + 2a_+(v))}{nk} \\
&= |D_t| \left(1 - \frac{(k - 3\Delta)}{nk}\right) + \frac{1}{nk} \sum_{v \in A_t} 2d_+(v) - \frac{1}{nk} \sum_{v \in D_t} 2a_+(v) \\
&\leq |D_t| \left(1 - \frac{1}{nk}\right) \quad \text{for } k > 3\Delta
\end{aligned}$$

$$\begin{aligned}
\Pr(X_t \neq Y_t) &\leq E[|D_t|] \\
&\leq |D_0| \left(1 - \frac{1}{nk}\right)^t \\
&\leq n e^{-t/nk} \\
&\leq \frac{1}{4} \quad \text{for } t \geq nk \log(4n)
\end{aligned}$$

So mixing time  $O(nk \log n)$ .

## Path coupling:

Worst-case pairs: differ at 1 vertex.

For  $X_t, Y_t \in \mathcal{Z}$ , let  $H(X_t, Y_t) = |D_t| = |\{v: X_t(v) \neq Y_t(v)\}|$

Consider pairs  $(X_t, Y_t)$  where  $H(X_t, Y_t) = 1$ .

Let  $\{z\} = X_t \oplus Y_t$ .

If update  $v=z$  then with prob.  $\geq \frac{k-\Delta}{nk}$  they agree after

$$\Pr(X_{t+1} = Y_{t+1}) \geq \frac{k-\Delta}{nk}$$

If update  $v \in N(z)$  then  $\leq 2$  "bad" color choices

$$\Pr(|D_{t+1}| = 2) \leq \frac{2\Delta}{nk}$$

Therefore,

$$\begin{aligned} E[|D_{t+1}| \mid H(X_t, Y_t) = 1] &\leq 1 + \frac{2\Delta}{nk} - \frac{(k-\Delta)}{nk} \\ &\leq 1 - \frac{1}{nk} \text{ for } k > 3\Delta. \end{aligned}$$

## Better coupling:

When  $v \in N(z)$  (a) if  $X_t \rightarrow X_{t+1}$  chooses  $Y_t(z)$

then  $Y_t \rightarrow Y_{t+1}$  chooses  $X_t(z)$

(a) might be bad but (b) is blocked in both so  $|D_{t+1}| = |D_t| = 1$ .

Thus,  $E[|D_{t+1}|] \leq 1 + \frac{\Delta}{nk} - \frac{(k-\Delta)}{nk} \leq 1 - \frac{1}{nk}$  for  $k > 2\Delta$ .

What about other pairs  $(X_t, Y_t)$ ?

Take pair  $(X, Y) \in \Sigma^2$  where  $H(X, Y) = l$ .

Define sequence  $W_0, W_1, \dots, W_k \in \Sigma$  where:

a) for all  $i, H(W_{i-1}, W_i) = 1$

b)  $W_0 = X, W_k = Y$ .

Let  $X', Y', W'_0, \dots, W'_k$  be the state after 1 transition.

For all  $i$ , there is a coupling for  $(W_{i-1}, W_i)$

thus given the transition  $W_{i-1} \rightarrow W'_i$

then the coupling defines  $W_i \rightarrow W'_i$ .

Choose a random transition for  $W_0 \rightarrow W'_0$   
 $X \rightarrow X'$

then "compose" the couplings along this path  $W_0 \rightarrow W_1 \rightarrow \dots \rightarrow W_k$

& we get the transition

for all  $i, W_i \rightarrow W'_i$

&  $Y \rightarrow Y'$

Thus, we have a coupling  $(X, Y) \rightarrow (X', Y')$ .

How good is this coupling?

$$\begin{aligned}
E[|D_{t+1}|] &= E[H(X_{t+1}, Y_{t+1})] \\
&\leq E\left[\sum_{i=0}^{l-1} H(\omega_{i-1}', \omega_i')\right] \\
&= \sum_{i=0}^{l-1} E[H(\omega_{i-1}', \omega_i')] \\
&\leq l\left(1 - \frac{1}{nk}\right) \quad \text{for } k > 2\Delta \\
&= |D_t| \left(1 - \frac{1}{nk}\right)
\end{aligned}$$

Therefore,

$$Pr(X_t \neq Y_t) \leq E[|D_t|] \leq n\left(1 - \frac{1}{nk}\right)^t \leq \frac{1}{4}$$

for  $t \geq nk \ln(4n)$   
&  $k > 2\Delta$ .



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[Bolley, Dyer '97]

Path coupling: For ergodic MC on  $\Omega$ .

Let  $S \subseteq \Omega \times \Omega$  s.t.  $(\Omega, S)$  is connected.

For  $(X, Y) \in \Omega \times \Omega$ , let

$\text{dist}(X, Y) =$  length of shortest path b/w  $X$  &  $Y$   
in  $(\Omega, S)$ .

If there exists  $\beta < 1$  s.t.  $\forall (X_t, Y_t) \in S$

there is a coupling  $(X_t, Y_t) \rightarrow (X_{t+1}, Y_{t+1})$

where

$$E[\text{dist}(X_{t+1}, Y_{t+1})] \leq \beta \text{dist}(X_t, Y_t)$$

Then

$$T_{\text{mix}}(\epsilon) \leq \frac{\log(D_{\text{max}}/\epsilon)}{1-\beta}$$

where  $D_{\text{max}} = \max_{(X, Y) \in \Omega^2} \text{dist}(X, Y)$ .